# Cryptanalysis of the SNOVA signature scheme 

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#### Abstract

SNOVA is a variant of a UOV-type signature scheme over a noncommutative ring. In this article, we demonstrate that certain parameters provided by authors in SNOVA fail to meet the NIST security level, and the complexities are lower than those claimed by SNOVA.


Keywords: multivariate public key cryptography• UOV • SNOVA

## 1 Introduction

Public key cryptosystems currently used such as RSA and ECC can be broken by a quantum computer executing Shor's algorithm [21] in polynomial time. Therefore, cryptosystems resistant to quantum computers are gaining increasing importance. There are many post-quantum cryptosystems based on different theory such as lattice theory, algebraic geometry, coding theory, and the isogeny theory of elliptic curves.

In 2022, the U.S. National Institute for Standards and Technology (NIST) on post-quantum cryptography ( PQC ) posted a call for additional digital signature proposals to be considered in the PQC standardization process. In 2023, 50 different signature schemes were submitted, including code-based signatures, isogeny signatures, lattice-based signatures, multivariate signatures, and others.

A multivariate public key cryptosystem (MPKC) has a set of quadratic polynomials over a finite field as its public key. Its security based on the difficulty of solving a system of multivariate quadratic polynomial equations over a finite field (MQ problem). Garey and Johnson proved [15] that MQ problem is NP-complete in general.

The oil and vinegar and later derived unbalanced oil and vinegar signature schemes(UOV) [19,18], are well-known signature schemes known for their efficiency and short signature. The UOV scheme has withstood attacks for more than 20 years and is still regarded as a secure signature scheme. Notably,, the Rainbow signature scheme proposed by Ding and Schmidt [8], a multilayer UOV variant, was selected as a third-round finalist in the NIST PQC project. However, both UOV and Rainbow have public keys much larger than other PQC candidates.

[^0]For multivariate signatures, the size of public key mainly depends on the number of variables, the number of equations, and the size of the finite field. Depending on different influencing factors, there are different research approaches to develop UOV variants. The first approach does not change the original design of UOV scheme, but only changes the way of key generation. The compression technique [20] developed by Petzoldt et al, which is based on the fact that a part of public key can be arbitrarily chosen before generating the secret key. This implies that a part of public key can be generated using a seed of pseudo-random number generator and the size of public key mainly depends on the dimension of the oil space, the number of equations and the size of the finite field. Note that this technique can be applied to various UOV variants. The second approach is to use polynomials defined over small field as the public key, while the signature and message spaces are defined over the extension field, see LUOV in [4]. But several of its parameters were broken by Ding et al. [10]. The third approach is to reduce the dimension of oil space in the KeyGen step. In the Sign step, they use different methods to induce a new oil space from the original oil space such that the dimension of the new oil space is greater or equal to the number of equations, for example, QR-UOV [13], MAYO [3], SNOVA [24]. The authors of QR-UOV [13] construct oil space over the extension field then mapping it into the vector space over base field by trace function or tensor product, see also [17]. The signature and message spaces are defined over the base field. BAC-UOV [22] is similar with QR-UOV but it is broken by Furue et al. [14]. For MAYO [3], they increasing the dimension of oil space by whipping up the oil and vinegar $\operatorname{map} \mathcal{P}: \mathbb{F}_{q}^{n} \rightarrow \mathbb{F}_{q}^{m}$ into a larger map $\mathcal{P}^{*}: \mathbb{F}_{q}^{k n} \rightarrow \mathbb{F}_{q}^{m}$. The authors of SNOVA [24] choose the noncommutative matrix $\mathcal{R}$ of $l \times l$ matrices over $\mathbb{F}_{q}$ to be the coefficient ring and they construct a UOV-like scheme with coefficients in $\mathcal{R}$. Actually, we can construct oil space in the space $\mathbb{F}_{q}^{n l}$ and make tensor product with $\mathbb{F}_{q}^{l}$ to map such oil space into a new oil space of $\mathcal{R}^{n}$.

Our contributions In this paper, our focus is on the multivariate signature SNOVA scheme [24]. We observe that an $\operatorname{SNOVA}(v, o, q, l)$ scheme over $\mathcal{R}$ can be viewed as a $\operatorname{UOV}(l v, l o, q)$ scheme with $l^{2} o$ equations over $\mathbb{F}_{q}$, rather than a $\operatorname{UOV}\left(l^{2} v, l^{2} o, q\right)$ scheme over $\mathbb{F}_{q}$ as claimed by the authors in [24]. Consequently, we demonstrate that some parameters provided by the authors in SNOVA can't meet the NIST security level, and the complexities are lower than they claimed. Additionally, the coefficient matrices of these $l^{2} o$ equations induced by the $\operatorname{SNOVA}(v, o, q, l)$ scheme exhibit special forms and are not randomly generated. In most cases, we observe that the $l^{2} o$ equations induced by SNOVA have more solutions than $l^{2} o$ random equations from a UOV scheme. Therefore, the actual complexity of SNOVA may be lower than theoretically estimated. Applying the same method, we find that NOVA [23] has lower complexities claimed by the authors in their article, see Table 1.

## 2 SNOVA scheme

### 2.1 Description of SNOVA scheme

In [24], the authors introduce a UOV-type signatures over a noncommutative ring, which called SNOVA.

Let $v, o, l$ be positive integers with $v>o$ and $\mathbb{F}_{q}$ a finite field with $q$ elements. Let $\mathcal{R}$ be the ring of $l \times l$ matrices over the finite field $\mathbb{F}_{q}$. Set $n=v+o$ and $m=o, \mathbf{x}=\left(x_{1}, \cdots, x_{n}\right)^{t}, \mathbf{u}=\left(u_{1}, \cdots, u_{n}\right)^{t} \in \mathcal{R}^{n},[P],[F]$ denote some $n \times n$ matrices whose entries are elements of $\mathcal{R}$. For each $Q \in \mathcal{R},\left[\Lambda_{Q}\right]$ denote the $n \times n$ matrix in $\mathbf{M}_{n \times n}(\mathcal{R})$ whose diagonal elements are $Q$.

The space $\mathbb{F}_{q}[s]$. We first randomly choose an $l \times l$ symmetric matrix $s$ such that the characteristic polynomial of $s$ is irreducible. Set

$$
\mathbb{F}_{q}[s]=\left\{a_{0}+\cdots+a_{l-1} s^{l-1}: a_{0}, \cdots, a_{l-1} \in \mathbb{F}_{q}\right\}
$$

Note that $\operatorname{dim}_{\mathbb{F}_{q}} \mathbb{F}_{q}[s]=l$ and each nonzero element in $\mathbb{F}_{q}[s]$ is invertible and symmetric.

Central map. The central map of SNOVA scheme is $F=\left[F_{1}, \cdots, F_{m}\right]$ : $\mathcal{R}^{n} \rightarrow \mathcal{R}^{m}$. Set $\Omega=\{(j, k): 1 \leq j, k \leq n\}-\{(j, k): m+1 \leq j, k \leq n\}$. For each $i, F_{i}$ is the form of

$$
\begin{aligned}
F_{i}\left(x_{1}, \cdots, x_{n}\right) & =\sum_{\alpha=1}^{l^{2}} A_{\alpha} \cdot\left(\sum_{(j, k) \in \Omega} x_{j}^{t}\left(Q_{\alpha 1} F_{i, j k} Q_{\alpha 2}\right) x_{k}\right) \cdot B_{\alpha} \\
& =\sum_{\alpha=1}^{l^{2}} A_{\alpha} \cdot \mathbf{x}^{t}\left(\left[\Lambda_{Q_{\alpha 1}}\right]\left[F_{i}\right]\left[\Lambda_{Q_{\alpha 2}}\right]\right) \mathbf{x} \cdot B_{\alpha}
\end{aligned}
$$

where $A_{\alpha}, B_{\alpha}, F_{i, j k}$ are the elements chosen randomly from $\mathcal{R}$ and $Q_{\alpha 1}, Q_{\alpha 2}$ are elements chosen randomly from $\mathbb{F}_{q}[s]-\{0\}$. Indeed, $\left[F_{i}\right]=\left(F_{i, j k}\right)$ is the form of

$$
\left[F_{i}\right]=\left(\begin{array}{cc}
F_{11} & F_{12} \\
F_{21} & 0
\end{array}\right) \in \mathbf{M}_{n \times n}(\mathcal{R})
$$

Public key and private key. Let $T: \mathcal{R}^{n} \rightarrow \mathcal{R}^{n}$ be the map corresponding to the matrix

$$
[T]=\left(\begin{array}{cc}
I_{v \times v} & T_{v \times o} \\
0 & I_{o \times o}
\end{array}\right)
$$

where $T_{v \times o}$ is a $v \times o$ matrix whose entries chosen randomly from $\mathbb{F}_{q}[s] . I_{v \times v}$ and $I_{o \times o}$ are the diagonal matrices with all entires being the identity matrix in $\mathcal{R}$.

Let $P=F \circ T$. Set $\mathbf{x}=[T] \circ \mathbf{u}$ and $P_{i}=F_{i} \circ T$. We get

$$
\begin{aligned}
P_{i}(\mathbf{u}) & =\sum_{\alpha=1}^{l^{2}} \sum_{d_{j}=1}^{n} \sum_{d_{k}=1}^{n} A_{\alpha} \cdot u_{d_{j}}^{t}\left(Q_{\alpha 1} P_{i, d_{j} d_{k}} Q_{\alpha 2}\right) u_{d_{k}} \cdot B_{\alpha} \\
& =\sum_{\alpha=1}^{l^{2}} A_{\alpha} \cdot \mathbf{x}^{t}\left(\left[\Lambda_{Q_{\alpha 1}}\right]\left[P_{i}\right]\left[\Lambda_{Q_{\alpha 2}}\right]\right) \mathbf{x} \cdot B_{\alpha}
\end{aligned}
$$

where $P_{i, d_{j} d_{k}}=\sum_{(j, k) \in \Omega} t_{j, d_{j}} \cdot F_{i, j k} \cdot t_{k, d_{k}}$. Note that

$$
\left[P_{i}\right]=\left(\begin{array}{ll}
P_{11} & P_{12} \\
P_{21} & P_{22}
\end{array}\right)=[T]^{t}\left[F_{i}\right][T], i=1, \cdots, m .
$$

The public key of SNOVA consists of the map $P: \mathcal{R}^{n} \rightarrow \mathcal{R}^{m}$, i.e., the corresponding matrices $\left[P_{i}\right]$ for $i=1, \cdots, m$, and matrices $A_{\alpha}, B_{\alpha}, Q_{\alpha k}$ for $\alpha=1, \cdots, l^{2}$ and $k=1,2$. The private key of SNOVA is $(F, T)$, i.e., the matrix $[T]$ and the matrices $\left[F_{i}\right]$ for $i=1, \cdots, m$.

Signature. Let Message be the message to be signed. Set Hash(Message) $=$ $\mathbf{y}=\left(y_{1}, \cdots, y_{m}\right)^{t} \in \mathcal{R}^{m}$. We first choose random values $a_{1}, \cdots, a_{v} \in \mathcal{R}$ as the vinegar variables. Then, we can obtain a solution $\left(a_{v+1}, \cdots, a_{n}\right)$ for the equation

$$
F\left(a_{1}, \cdots, a_{v}, x_{v+1}, \cdots, x_{n}\right)=\mathbf{y} .
$$

If there is no solution to the equation, we choose new random values $a_{1}^{\prime}, \cdots, a_{v}^{\prime} \in$ $\mathcal{R}$ and repeat the procedure. Set $\mathbf{x}=\left(a_{1}, \cdots, a_{v}, a_{v+1}, \cdots, a_{n}\right)^{t}$. Secondly, the signature is sign $=T^{-1}(\mathbf{x})$.

Verification. Let sign $=\left(s_{1}, \cdots, s_{n}\right)$ be the signature to be verified. If $\operatorname{Hash}($ Message $)=P(\mathbf{s i g n})$, then the signature is accepted, otherwise rejected.

### 2.2 Structure of SNOVA

The authors assert in [24] that an $\operatorname{SNOVA}(v, o, q, l)$ scheme over $\mathcal{R}$ can be considered as a $\operatorname{UOV}\left(l^{2} v, l^{2} o, q\right)$ scheme over $\mathbb{F}_{q}$. However, we argue that it should only be regarded as a $\operatorname{UOV}(l v, l o, q)$ scheme with $l^{2} o$ equations over $\mathbb{F}_{q}$.

In fact, all the matrices $\left[F_{i}\right],[T]$, and $\left[P_{i}\right]$ in the SNOVA scheme can be viewed as $\ln \times l n$ matrices in $\mathbf{M}_{\ln \times \ln }\left(\mathbb{F}_{q}\right)$. Based on the design of the central map $F$, there exists an oil space of $F$ over $\mathbb{F}_{q}$ with a dimension of ol. Set

$$
\mathcal{O}_{1}=\left\{\left(0, \cdots, 0, a_{l v+1}, \cdots, a_{l n}\right) \in \mathbb{F}_{q}^{l n}: a_{i} \in \mathbb{F}_{q}\right\} \text { and } \mathcal{O}=[T]^{-1}\left(\mathcal{O}_{1}\right) \subset \mathbb{F}_{q}^{l n} .
$$

Note that $\operatorname{dim}_{\mathbb{F}_{q}} \mathcal{O}=l o$ and for any $\mathbf{u}, \mathbf{v} \in \mathcal{O}, 0 \leq j, k \leq l-1$, we have

$$
\begin{equation*}
\mathbf{u}^{t} \cdot\left(\left[\Lambda_{s^{j}}\right]\left[P_{i}\right]\left[\Lambda_{s^{k}}\right]\right) \cdot \mathbf{v}=0 \in \mathbb{F}_{q} \text { for } i=1, \cdots, m \tag{2.1}
\end{equation*}
$$

That is, $\left(\left[\Lambda_{s^{j}}\right]\left[P_{i}\right]\left[\Lambda_{s^{k}}\right]\right) \mathcal{O} \subset \mathcal{O}^{\perp}$ for $i=1, \cdots, m$ and $0 \leq j, k \leq l-1$. Moreover, equation (2.1) implies that the subspace $\mathcal{O}$ is stable under the action of $\left[\Lambda_{s}\right]$. Furthermore, if we can find a subspace $\mathcal{O}^{\prime} \subset \mathbb{F}_{q}^{l n}$ with dimension ol, and any elements $\mathbf{u}, \mathbf{v} \in \mathcal{O}^{\prime}$ satisfy equation (2.1), then for any

$$
\mathbf{x} \in \mathcal{O}^{\prime} \otimes \mathbb{F}_{q}^{l}=\left\{\mathbf{u}^{t} \otimes e \in \mathcal{R}^{n}: \mathbf{u} \in \mathcal{O}^{\prime}, e \in \mathbb{F}_{q}^{l}\right\}
$$

we have

$$
P(\mathbf{x})=0 \in \mathcal{R}^{m} .
$$

Given a target $\mathbf{t} \in \mathcal{R}^{m}$, we can utilize the subspace $\mathcal{O}^{\prime} \otimes \mathbb{F}_{q}^{l}$ of $\mathcal{R}^{n}$ to find $\mathbf{x} \in \mathcal{R}^{n}$ such that $P(\mathbf{x})=\mathbf{t}$.

## 3 Security Analysis

### 3.1 Complexity

Given a homogeneous multivariate quadratic map $P: \mathbb{F}_{q}^{N} \rightarrow \mathbb{F}_{q}^{M}$, we use $M Q(N, M, q)$ to denote the complexity of finding a non-trivial solution $\mathbf{u}$ satisfying $P(\mathbf{u})=0$ if such solution exists. Several algorithms for algebraically solving the quadratic system by computing Gröbner basis [5] include $F_{4}[11], F_{5}[12]$ and XL [7].

In this paper, we estimate the complexity of solving $M$ homogeneous quadratic equations in $N$ variables [6] as

$$
3 \cdot\binom{N-1+d_{\text {reg }}}{d_{\text {reg }}}^{2} \cdot\binom{N+1}{2}
$$

field multiplications, where $d_{\text {reg }}$ is equal to the degree of the first non-positive term in the series generated by

$$
\frac{\left(1-t^{2}\right)^{M}}{(1-t)^{N}}
$$

The hybrid approach [1], which randomly guesses $k(k=0, \cdots, N)$ variables before computing a Gröbner basis. Hence the complexities are

$$
\min _{k} q^{k} \cdot M Q(N-k+1, M, q)
$$

field multiplications for the classical case and

$$
\min _{k} q^{k / 2} \cdot M Q(N-k+1, M, q)
$$

field multiplications by using Grover's algorithm [16].
An underdetermined system can be reduced to an overdetermined system, then apply hybrid approach. There are many approaches listed in [24].

The number of gates required for an attack can be computed by

$$
\text { \#gates }=\text { \#field multiplication } \cdot\left(2 \cdot\left(\log _{2} q\right)^{2}+\log _{2} q\right) .
$$

### 3.2 K-S attack

In UOV scheme, the K-S attack [18] obtains the subspace $T^{-1}\left(\mathcal{O}_{1}\right)$ of $\mathbb{F}_{q}^{n}$, where $\mathcal{O}_{1}$ is the oil subspace defined as

$$
\mathcal{O}_{1}:=\left\{\left(0, \cdots, 0, \alpha_{1}, \cdots, \alpha_{m}\right)^{t}: \alpha_{i} \in \mathbb{F}_{q}\right\}
$$

The subspace $T^{-1}\left(\mathcal{O}_{1}\right)$ can induce an equivalent key. To obtain $T^{-1}\left(\mathcal{O}_{1}\right)$, the K-S attack chooses two invertible matrices $W_{1}, W_{2}$ from the set of linear combinations of the public keys $P_{1}, \cdots, P_{m}$. Then, it probabilistically recovers a part of the subspace $T^{-1}\left(\mathcal{O}_{1}\right)$. The complexities of K-S attack and quantum K-S attack are estimated by

$$
\mathrm{Comp}_{\mathrm{K}-\mathrm{S} ; \text { classical }} \mathrm{UOV}=q^{v-o-1} \cdot m^{4}
$$

field multiplications and

$$
\text { Comp }_{\mathrm{K}-\mathrm{S} ; q u a n t u m} \mathrm{UOV}=q^{\frac{v-o-1}{2}} \cdot m^{4}
$$

field multiplications, respectively.
In the SNOVA scheme, we have claimed that $\operatorname{SNOVA}(v, o, q, l)$ scheme over $\mathcal{R}$ can be regarded as a $\operatorname{UOV}(l v, l o, q)$ scheme. In such case, we have

$$
\mathrm{Comp}_{\mathrm{K}-\mathrm{S} ; \mathrm{classical}} \mathrm{SNOVA}=q^{l v-l o-1} \cdot(l o)^{4}
$$

field multiplications and

$$
\mathrm{Comp}_{\mathrm{K}-\mathrm{S} ; q u a n t u m} \mathrm{SNOVA}=q^{\frac{l v-l o-1}{2}} \cdot(l o)^{4}
$$

field multiplications, respectively.

### 3.3 Reconciliation Attack

The reconciliation attack [9] for UOV is similar to the UOV attack, trying to find $\mathbf{u} \in T^{-1}\left(\mathcal{O}_{1}\right)$ such that $P(\mathbf{u})=0$ and hence basis of $T^{-1}\left(\mathcal{O}_{1}\right)$ can be recovered. For SNOVA scheme, the reconciliation attack can be decomposed into a series of steps. Firstly, we may find an element $\mathbf{u}=\left(u_{1}, \cdots, u_{l v}, 0, \cdots, 0,1\right)^{t} \in \mathbb{F}_{q}^{l n}$ such that

$$
\begin{equation*}
\mathbf{u}^{t} \cdot\left(\left[\Lambda_{s^{j}}\right]\left[P_{i}\right]\left[\Lambda_{s^{k}}\right]\right) \cdot \mathbf{u}=0 \in \mathbb{F}_{q} \tag{3.1}
\end{equation*}
$$

for $i=1, \cdots, m$ and $0 \leq j, k \leq l-1$. There are $o \cdot l^{2}$ equations in (3.1). After finding such $\mathbf{u}$, we know that $\left[\Lambda_{s}\right] \mathbf{u}, \cdots,\left[\Lambda_{s^{l-1}}\right] \mathbf{u}$ are also solutions of (3.1) which are linear independent with $\mathbf{u}$. Secondly, using the equations (2.1), we get $2 \cdot o \cdot l^{2}$ linear equations for the other elements of $\mathcal{O}$. Hence the complexity of reconciliation attack is mainly centered on solving the equations (3.1).

Therefore, the complexities are

$$
\mathrm{Comp}_{\text {Reconciliation;classical }} \mathrm{SNOVA}=\min _{k} q^{k} M Q\left(v l+1-k, o \cdot l^{2}, q\right)
$$

field multiplications and

$$
\mathrm{Comp}_{\text {Reconciliation;quantum }} \mathrm{SNOVA}=\min _{k} q^{k / 2} M Q\left(v l+1-k, o \cdot l^{2}, q\right)
$$

field multiplications, respectively.
We observe that the equation (3.1) is easier to find solutions in the extension field $\mathbb{F}_{q^{l}}$. Because the characteristic polynomial of $s$ is irreducible, all the eigenvalues of $s$ lie in $\mathbb{F}_{q^{l}}$. Let $\lambda \in \mathbb{F}_{q^{l}}$ be an eigenvalue of $s$ and $\xi \in\left(\mathbb{F}_{q^{l}}\right)^{l}$ an eigenvector corresponding to $\lambda$. Let $\tau$ be the Frobenius element $z \mapsto z^{q}$ in the Galois group $\operatorname{Gal}\left(\mathbb{F}_{q^{l}} / \mathbb{F}_{q}\right)$ which can be easily induced an operator on vector space over $\mathbb{F}_{q^{l}}$. For $j=0, \cdots, l-1$, we have

$$
s \tau^{j}(\xi)=\tau^{j}(\lambda) \tau^{j}(\xi)
$$

Thus for each $j, \tau^{j}(\xi)$ is an eigenvector corresponding to the eigenvalue $\tau^{j}(\lambda)$. In particular, $\left\{\xi, \tau^{1}(\xi), \cdots, \tau^{l-1}(\xi)\right\}$ are linear independent and so

$$
\operatorname{Tr}(\xi):=\sum_{j=0}^{l-1} \tau^{j}(\xi) \in \mathbb{F}_{q}^{l}-\{0\}
$$

According to the construction of $\mathcal{O}$ in the subsection 2.2, we have

$$
\mathcal{O} \otimes_{\mathbb{F}_{q}} \mathbb{F}_{q^{l}}=[T]^{-1}\left(\left\{\left(0, \cdots, 0, a_{l v+1}, \cdots, a_{l n}\right) \in \mathbb{F}_{q^{l}}^{l n}: a_{i} \in \mathbb{F}_{q^{l}}\right\}\right)
$$

Hence, there is an element $\mathbf{u}=\left(\lambda_{1} \xi^{t}, \cdots, \lambda_{v} \xi^{t}, 0, \cdots, 0, \xi^{t}\right)^{t} \in \mathcal{O} \otimes_{\mathbb{F}_{q}} \mathbb{F}_{q^{l}}$ satisfying the equation (3.1). Then the equation (3.1) becomes

$$
\mathbf{u}^{t} \cdot\left(\left[\Lambda_{s^{j}}\right]\left[P_{i}\right]\left[\Lambda_{s^{k}}\right]\right) \cdot \mathbf{u}=\lambda^{j+k} \mathbf{u}^{t} \cdot\left[P_{i}\right] \cdot \mathbf{u}=0 \in \mathbb{F}_{q^{l}}
$$

That is

$$
\begin{equation*}
\mathbf{u}^{t} \cdot\left[P_{i}\right] \cdot \mathbf{u}=0 \in \mathbb{F}_{q^{l}} \tag{3.2}
\end{equation*}
$$

for $i=1, \cdots, m$. There are only $m$ quadratic equations and $v$ variables over $\mathbb{F}_{q^{l}}$. Indeed, $\mathbf{u} \in \mathcal{O}{\otimes \mathbb{F}_{q}}^{\mathbb{F}_{q^{l}}}$ gives us more equations:

$$
\begin{equation*}
\mathbf{u}^{t} \cdot\left[P_{i}\right] \cdot \tau^{j}(\mathbf{u})=0 \in \mathbb{F}_{q^{l}} \tag{3.3}
\end{equation*}
$$

for $i=1, \cdots, m$ and $j=0, \cdots, l-1$. In such case, set

$$
\mathbf{v}:=\operatorname{Tr}(\mathbf{u})=\mathbf{u}+\tau(\mathbf{u})+\cdots+\tau^{l-1}(\mathbf{u}) \in \mathbb{F}_{q}^{l n}-\{0\}
$$

We have

$$
\begin{aligned}
\mathbf{v}^{t} \cdot\left(\left[\Lambda_{s^{j}}\right]\left[P_{i}\right]\left[\Lambda_{s^{k}}\right]\right) \cdot \mathbf{v} & =\sum_{0 \leq a, b \leq l-1} \tau^{a}\left(\mathbf{u}^{t}\right) \cdot\left(\left[\Lambda_{s^{j}}\right]\left[P_{i}\right]\left[\Lambda_{s^{k}}\right]\right) \cdot \tau^{b}(\mathbf{u}) \\
& =\sum_{0 \leq a, b \leq l-1} \tau^{a}\left(\lambda^{j}\right) \tau^{b}\left(\lambda^{k}\right) \tau^{a}\left(\mathbf{u}^{t} \cdot\left[P_{i}\right] \cdot \tau^{b-a}(\mathbf{u})\right) \\
& =0
\end{aligned}
$$

Conversely, each solution of (3.1) over $\mathbb{F}_{q}$ induces a solution of (3.2) over $\mathbb{F}_{q^{l}}$ whose form is $\mathbf{u}=\left(\lambda_{1} \xi^{t}, \cdots, \lambda_{v} \xi^{t}, 0, \cdots, 0, \xi^{t}\right)^{t} \in\left(\mathbb{F}_{q^{l}}\right)^{l n}$. Indeed, suppose

$$
\mathbf{u}_{0}=\left(u_{1}, \cdots, u_{l v}, 0, \cdots, 0,1\right)^{t} \in \mathbb{F}_{q}^{l n}
$$

is a solution of (3.1). Then $\left[\Lambda_{s}\right] \mathbf{u}_{0}, \cdots,\left[\Lambda_{s^{l-1}}\right] \mathbf{u}_{0}$ are also solutions of (3.1) which are linear independent with $\mathbf{u}_{0}$. Hence we can find a solution

$$
\mathbf{u}=\left(\lambda_{1} \xi^{t}, \cdots, \lambda_{v} \xi^{t}, 0, \cdots, 0, \xi^{t}\right)^{t}
$$

in the subspace spanned by $\mathbf{u}_{0},\left[\Lambda_{s}\right] \mathbf{u}_{0}, \cdots,\left[\Lambda_{s^{l-1}}\right] \mathbf{u}_{0}$ over $\mathbb{F}_{q^{l}}$. Moreover, the above $\mathbf{u}$ also satisfies (3.3).

### 3.4 Intersection Attack

Beullens proposed a new attack against UOV called the intersection attack in [2]. The intersection attack attempts to obtain an equivalent key by recovering the subspace $\mathcal{O}$. Let $M_{1}, M_{2}$ be two invertible matrices in the set of linear combinations of $\left\{\left[\Lambda_{s^{j}}\right]\left[P_{i}\right]\left[\Lambda_{s^{k}}\right]\right\}_{1 \leq i \leq m, 0 \leq j, k \leq l-1}$. By (2.1), we know that $M_{1} \mathcal{O}$ and $M_{2} \mathcal{O}$ are both subspaces of $\overline{\mathcal{O}}{ }^{\perp}$. Although $M_{1} \mathcal{O} \neq M_{2} \mathcal{O}$, we still have

$$
\begin{aligned}
\operatorname{dim}\left(M_{1} \mathcal{O} \cap M_{2} \mathcal{O}\right) & =\operatorname{dim}\left(M_{1} \mathcal{O}\right)+\operatorname{dim}\left(M_{2} \mathcal{O}\right)-\operatorname{dim}\left(M_{1} \mathcal{O}+M_{2} \mathcal{O}\right) \\
& \geq 2 l o-\operatorname{dim}\left(\mathcal{O}^{\perp}\right) \\
& =2 l o-l v
\end{aligned}
$$

In the case of $\mathbf{2 l o}>\mathbf{l v}$. Let $\mathbf{x}$ be an element in the intersection $M_{1} \mathcal{O} \cap M_{2} \mathcal{O}$, then both $M_{1}^{-1} \mathrm{x}$ and $M_{2}^{-1} \mathrm{x}$ are in $\mathcal{O}$. Therefore, x is a solution to the following system of quadratic equations

$$
\left\{\begin{array}{l}
\left(M_{1}^{-1} \mathbf{x}\right)^{t} \cdot\left(\left[\Lambda_{s^{j}}\right]\left[P_{i}\right]\left[\Lambda_{s^{k}}\right]\right) \cdot\left(M_{1}^{-1} \mathbf{x}\right)=0  \tag{3.4}\\
\left(M_{2}^{-1} \mathbf{x}\right)^{t} \cdot\left(\left[\Lambda_{s^{j}}\right]\left[P_{i}\right]\left[\Lambda_{s^{k}}\right]\right) \cdot\left(M_{2}^{-1} \mathbf{x}\right)=0 \\
\left(M_{1}^{-1} \mathbf{x}\right)^{t} \cdot\left(\left[\Lambda_{s^{j}}\right]\left[P_{i}\right]\left[\Lambda_{s^{k}}\right]\right) \cdot\left(M_{2}^{-1} \mathbf{x}\right)=0 \\
\left(M_{2}^{-1} \mathbf{x}\right)^{t} \cdot\left(\left[\Lambda_{s^{j}}\right]\left[P_{i}\right]\left[\Lambda_{s^{k}}\right]\right) \cdot\left(M_{1}^{-1} \mathbf{x}\right)=0
\end{array}\right.
$$

Note that the third and the fourth equations in (3.4) are same when $\left[P_{i}\right]$ is symmetric. Since there is a $2 l o-l v$ dimensional subspace of solutions, we can impose $2 l o-l v$ affine constraints on $\mathbf{x}$. Then the attack is reduced to find a solution to the above system of $4 l^{2} o$ quadratic equations in $l n-(2 l o-l v)=$ $2 l v$ - lo variables. Therefore, the complexity is

$$
\mathrm{Comp}_{\text {Intersection }}=M Q\left(2 l v-l o+1,4 l^{2} o, q\right)
$$

In the case of $2 \mathbf{l o} \leq \mathbf{l v}$. The intersection $M_{1} \mathcal{O} \cap M_{2} \mathcal{O}$ may have no nontrivial vector. If $M_{1} \mathcal{O}$ and $M_{2} \mathcal{O}$ are uniformly random subspaces of $\mathcal{O}^{\perp}$, then the
probability that they have non-trivial intersection is approximately $q^{-l v+2 l o-1}$. Therefore, the attack becomes a probabilistic algorithm for solving the system (3.4) with a probability of approximately $q^{-l v+2 l o-1}$. In such case, the complexity is

$$
\mathrm{Comp}_{\text {Intersection }}=q^{l v-2 l o+1} M Q\left(\ln , 4 l^{2} o, q\right)
$$

Table 1. Table of classical complexity in $\log _{2}$ (\#gates)

| SL | $(v, o, q, l)$ | K-S | Reconciliation |  |
| :---: | :---: | :---: | :---: | :---: |
|  | $(28,17,16,2)$ | $\mathbf{1 0 9} / 181$ | $\mathbf{1 1 7} / 192$ | $\mathbf{7 7} / 275$ |
| I | $(25,8,16,3)$ | $223 / 617$ | $174 / 231$ | $680 / 819$ |
|  | $(24,5,16,4)$ | $322 / 1221$ | $191 / 286$ | $1015 / 1439$ |
|  | $(43,25,16,2)$ | $\mathbf{1 6 7} / 293$ | $\mathbf{1 7 8} / 279$ | $276 / 439$ |
| III | $(49,11,16,3)$ | $477 / 1373$ | $231 / 530$ | $1919 / 1631$ |
|  | $(37,8,16,4)$ | $485 / 1861$ | $292 / 424$ | $1508 / 2192$ |
|  | $(61,33,16,2)$ | $\mathbf{2 4 9} / 453$ | $\mathbf{2 6 2} / 386$ | $395 / 727$ |
| V | $(66,15,16,3)$ | $635 / 1841$ | $307 / 707$ | $2547 / 2178$ |
|  | $(60,10,16,4)$ | $822 / 3205$ | $360 / 812$ | $2831 / 3602$ |

Table 1 presents the classical complexity of respective attacks against the parameters submitted in [24]. In each pair of complexities, the left one denotes the complexity in classical gates using the analysis results in this article, and the right one denotes the complexity in classical gate given by [24]. Complexities that do not meet the security level of the NIST PQC project are highlighted in bold fonts. Furthermore, Table 1 also indicates that the complexity of SNOVA is generally lower than what the authors claimed in [24].

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