

# On the Security of Hash Functions Employing Blockcipher Postprocessing

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**Abstract.** Analyzing desired generic properties of hash functions is an important current area in cryptography. For example, in Eurocrypt 2009, Dodis, Ristenpart and Shrimpton [7] introduced the elegant notion of “Preimage Awareness” (PrA) of a hash function  $H^P$ , and they showed that a PrA hash function followed by an output transformation modeled to be a FIL (fixed input length) random oracle is PRO (pseudorandom oracle) i.e. indifferentiable from a VIL (variable input length) random oracle. We observe that for recent practices in designing hash function (e.g. SHA-3 candidates) most output transformations are based on permutation(s) or blockcipher(s), which are not PRO. Thus, a natural question is how the notion of PrA can be employed directly with these types of more prevalent output transformations? We consider the Davies-Meyer’s type output transformation  $OT(x) := E(x) \oplus x$  where  $E$  is an ideal permutation. We prove that  $OT(H^P(\cdot))$  is PRO if  $H^P$  is PrA, preimage resistant and computable message aware (a related but not redundant notion, needed in the analysis that we introduce in the paper). The similar result is also obtained for 12 PGV output transformations. We also observe that some popular double block length output transformations can not be employed as output transformation.

**Keywords:** Preimage Awareness, PRO, Random Permutation, Computable Message Awareness.

## 1 Introduction

Understanding what construction strategy has a chance to be a good hash function is extremely challenging. Further, nowadays it is becoming more important due to the current SHA3 competition which is intended to make a new standard for hash functions. In TCC’04, Maurer *et al.* [16] introduced the notion of indifferentiability as a generalization of the concept of the indistinguishability of two systems [15]. Indifferentiable from a VIL (variable input length) random oracle (also known as PRO or pseudorandom oracle) is the appropriate notion of random oracle for a hash-design. Recently, Dodis, Ristenpart and Shrimpton [7] introduced a generic method to show indifferentiable or PRO security proof of a hash function, whose final output function  $\mathcal{R}$  is a FIL (fixed input length) random oracle. More precisely, they defined a new security notion of hash function, called **preimage awareness** (PrA), and showed that  $F(M) = \mathcal{R}(H^P(M))$  is PRO provided  $H^P$  is preimage aware (supposed to be a weaker assumption). The result is applied to prove the indifferentiable security of the Skein hash algorithm [2], a second round SHA3 candidate. Informally, a hash function  $H^P$  is called PrA if the following is true for any adversary  $A$  having access to  $P$ : For any  $y$  committed by  $A$ , if a preimage of  $y$  is not efficiently “computable” (by an algorithm called *extractor*) from the tuple of all query-responses of  $P$  (called *advise string*) then  $A$  should not be able to compute it even after making additional  $P$  queries. This new notion seems to be quite powerful whenever we have composition of a VIL hash function and a FIL output transformation.

**Our Result.** We start with a preliminary discussion about the different notions and interrelationship among them. We note that there are hash functions whose final output transformation *cannot be viewed as a random oracle* e.g. some SHA3 second round candidates. So one needs to extended results beyond that of Dodis *et al.* to cover the cases of hash functions with various output transformations which are

in use and this becomes our major objective, since it is important to assure good behavior of these hash functions as well. As a good example of a prevalent transform for construction of hash functions, we choose Davies-Meyer [20]  $OT(x) = E(x) \oplus x$  where  $E$  is a random permutation and study it in Section 3. We observe that the preimage awareness of  $H^P$  is not sufficient for the PRO security of  $F$ . In addition to PrA, if  $H^P$  is also preimage resistant (PI) and computable message aware (as we define in Section 3.1), then  $F^{P,E}$  is PRO (proved in Theorem 1). Informally speaking, a hash function  $H^P$  is called **computable message aware** (or CMA) if there exists an efficient extractor (called computable message extractor) which can list the set of all computable messages whose  $H^P$  outputs are implied with high probability given the advise string of  $P$ . The main difference with PrA is that here, no adversary is involved and the extractor does not get any specific target (see Definition 2). We show that both preimage resistant and CMA are not implied by PrA and hence these properties can not be ignored. Our result can then be employed to prove that a close variant of Grøstl is PRO (see Section 4)<sup>1</sup>. We continue our research in finding other good output transformations. We found 12 out of 20 PGVs can be employed as output transformation  $OT$  and we require similar properties of  $H^P$ , i.e. PrA, PI and CMA, to have PRO property of  $OT(H^P)$  (see Section 5). However these three properties are not sufficient for some DBL post processors. In section 6 we show PRO attacks when some popular double block length post processors are employed. It would be an interesting future research work to characterize the properties of the inner hash function  $H^P$  and the output transformation  $OT$  such that  $OT(H^P)$  become PRO. In the appendix we review the results of [7, 8].

## 2 Preliminaries

A game is a tuple of probabilistic stateful oracles  $G = (\mathcal{O}_1, \dots, \mathcal{O}_r)$  where states can be shared by the oracles. The oracles can have access to primitives (e.g. random oracle) via black-box modes. It is reasonable to assume that all random sources of games come from the primitives. A probabilistic oracle algorithm  $A$  (e.g. an adversary) executes with an input  $x$ , its oracle queries being answered by the corresponding oracles of  $G$ . Finally it returns  $y := A^G(x)$ . An adversary  $A$  may be limited by different resources such as its runtime, number of queries to different oracles, size of its inputs or outputs, etc. If  $\theta$  is a tuple of parameters describing the available resources of  $A$  then we say that  $A$  is a  $\theta$ -adversary. In this paper  $H^P$  is an  $n$ -bit hash function defined over a message space  $\mathcal{M}$  based on a primitive  $P$  which can be only accessed via a black-box.

**Indifferentiability.** The security notion of indifferentiability or PRO was introduced by Maurer *et al.* in TCC'04 [16]. In Crypto'05, Coron *et al.* adopted it as a security notion for hash functions [5]. Let  $F$  be a hash function based on ideal primitives  $P = (P_1, \dots, P_j)$  and  $\mathcal{F}$  be a VIL random oracle, let  $S^{\mathcal{F}} = (S_1^{\mathcal{F}}, \dots, S_j^{\mathcal{F}})$  be a simulator (aimed to simulate  $P = (P_1, \dots, P_j)$ ) with access to  $\mathcal{F}$ , where  $S_i$ 's can communicate to each other. Then, for any adversary  $A$ , the indifferentiability- or PRO-advantage of  $A$  is defined by  $\mathbf{Adv}_{F^P, S^{\mathcal{F}}}^{\text{PRO}}(A) = |\Pr[A^{F,P} = 1] - \Pr[A^{\mathcal{F}, S} = 1]|$ . When the value of the above advantage is negligible, we say that the hash function  $F$  is indifferentiable or PRO. Maurer *et al.* [16] also proved that if  $F$  is indifferentiable, then  $\mathcal{F}$  (a VIL random oracle) used in any secure cryptosystem can be replaced by  $F^{P_1, \dots, P_j}$  with a negligible loss of security. In other words,  $F$  can be used as a VIL PRO.

**Preimage-Awareness or PrA.** Dodis, Ristenpart and Shrimpton defined a new security notion called *Preimage-Awareness* (or PrA) for hash functions [7, 8] which plays an important role in analyzing indifferentiability of a hash function [2]. Given a game  $G^P$  (can be  $P$  itself), a tuple  $\alpha = ((x_1, w_1), \dots, (x_s, w_s))$  is called an *advise string* at some point of time in the execution of  $A^G$ , if  $w_i$ 's are responses of all  $P$ -queries  $x_i$ 's until that point of time. A PrA  $(q, e, t)$ -adversary  $A$  (making  $q$  queries and running in time  $t$ ) commits  $y_1, \dots, y_e$  during the execution of  $A^P$  and finally returns  $M$ . We write

<sup>1</sup> The indifferentiable security analysis of Grøstl has been studied and can be found in [1]

$(y_1, \dots, y_e) \leftarrow A_{\text{guess}}^P$ ,  $M \leftarrow A^P$  and denote the advise string at the time  $A_{\text{guess}}^P$  commits  $y_i$  by  $\alpha_i$ . The guesses and  $P$ -queries can be made in any order.

**Definition 1.** The PrA-advantage of  $H^P$  with an extractor  $\mathcal{E}$  is defined as  $\mathbf{Adv}_{H,P,\mathcal{E}}^{\text{pra}}(q, e, t) = \max_A \mathbf{Adv}_{H,P,\mathcal{E}}^{\text{pra}}(A)$  where maximum is taken over all  $(q, e, t)$ -adversaries  $A$  and the PrA advantage of  $A$  is defined as

$$\mathbf{Adv}_{H,P,\mathcal{E}}^{\text{pra}}(A) = \Pr[\exists i, H^P(M) = y_i, M \neq \mathcal{E}(y_i, \alpha_i) : M \leftarrow A^P; (y_1, \dots, y_e) \leftarrow A_{\text{guess}}^P]. \quad (1)$$

$H^P$  is called  $(q, e, t, t_e, \epsilon)$ -PrA if  $\mathbf{Adv}_{H,P,\mathcal{E}}^{\text{pra}}(q, e, t) \leq \epsilon$  for some extractor  $\mathcal{E}$  with runtime  $t_e$ . In short, we say that a hash function is PrA or preimage-aware if there exists an “efficient” extractor such that for all “reasonable” adversaries  $A$  the PrA advantage is “small”.

### Relationship among Collision Resistant, Preimage resistant (PI) and PrA.

If a collision attacker  $B^P$  returns a collision pair  $(M, M')$ , then a PrA attacker makes all necessary  $P$  queries to compute  $H^P(M) = H^P(M') = y$  and finally returns  $M$  if  $\mathcal{E}(y, \alpha) = M'$ , o.w. returns  $M'$ . So a PrA hash function must be collision resistant. In [7] the authors consider a weaker version of PrA (called weak-PrA) where an extractor can return a set of messages (possibly empty) whose output is  $y$ . A PrA adversary wins this new weak game if it can find a preimage of  $y$  different from those given by the extractor. They also have shown that PrA is equivalent to collision resistant and weak-PrA. One can modify a definition of a preimage-resistant hash function by introducing only one collision pair. It still remains preimage resistant as the randomly chosen target in the particular collision value has negligible probability. However, it is not preimage-aware since a collision is known. On the other hand  $H^P(x) = P^{-1}(x)$  or  $H^P(x) = x$  are not preimage resistant but PrA.

## 3 Hash Function with Output Transformation $OT(x) := E(x) \oplus x$

In [7], hash functions have been analyzed for which the output transformation can be modeled as a FIL random oracle. Generally, we can consider various kinds of output transformations such as Davis-Meyer, PGV compression functions [19] or some DBL (Double Block Length) compression functions [18, 11, 12, 14] in the ideal cipher model. Traditionally, the most popular known design of hash function uses one of the above post-processors. It is well-known that all such compression functions are not indifferentially secure [5]. So, we need a separate analysis from [7]. In this section, we consider Davis-Meyer transformation  $OT(x) = E(x) \oplus x$ , where  $E$  is a permutation modeled as a “random permutation.” A simple example of  $H^P$  (e.g. the identity function) tells us that the preimage awareness is not a sufficient condition to have PRO after employing Davis-Meyer post-processor. This suggests that we need something stronger than PrA. We first observe that the preimage attack on identity function can be exploited to have the PRO attack. So preimage resistant can be a necessary condition. We define a variant of preimage resistant, called multipoint-preimage (or mPI), which is actually equivalent to PI. The multipoint-preimage (or mPI) advantage of a  $(q, t, s)$ -adversary  $A$  (i.e., adversary which makes  $q$  queries, runs in time  $t$  and has  $s$  targets) for  $H^P$  is defined as

$$\mathbf{Adv}_{H^P}^{\text{mPI}}(A) = \Pr[\exists i, H^P(M) = h_i : M \leftarrow A^P(h_1, \dots, h_s); h_1, \dots, h_s \stackrel{\$}{\leftarrow} \{0, 1\}^n]. \quad (2)$$

When  $s = 1$ , it corresponds to the classical preimage advantage  $\mathbf{Adv}_{H^P}^{\text{PI}}(A)$ . Conversely, mPI advantage can be bounded above by preimage advantage as described in the following. For any  $(q, t, s)$ -adversary  $A$  with multipoint-preimage advantage  $\epsilon$  against  $H^P$ , there is a  $(q, t + O(s))$ -adversary  $A'$  with preimage-advantage  $\epsilon/s$ . The adversary  $A'$  generates  $(s - 1)$  targets randomly and embeds his target among these in a random position. So whenever an mPI adversary  $A$  finds a multipoint preimage of these  $s$  targets, it is the preimage of  $A'$ 's target with probability  $1/s$  (since there is no way for  $A$  to know the position of the target for  $A'$ ). W.l.o.g. one can assume that the targets are distinct and chosen at random. Otherwise we remove all repeated  $h_i$ 's and replace them by some other random distinct targets. So we have the following result.

**Lemma 1.** Let  $h_1, \dots, h_s$  be distinct elements chosen at random (i.e. outputs of a random permutation for distinct inputs). Then, any  $(q, t)$ -adversary  $A^P$  can find one of the preimages of  $h_i$ 's with probability at most  $s \times \text{Adv}_{H^P}^{\text{PI}}(q, t)$ .

### 3.1 Computability

Next we show that preimage resistant and PrA are not sufficient to prove the PRO property. Consider the following example based on an  $n$ -bit one-way permutation  $f$  and random oracle  $P$ .

*Example 1.*  $H^P(m) = P(f(m)) \oplus m$ . Given  $\alpha = (f(m), w)$  it is hard to find  $m$  and hence there is no efficient extractor to find the message  $m$  even though an adversary  $A$  knows  $m$  and its  $H^P$ -output. An adversary can compute  $z = \mathcal{F}(m)$  and makes  $E^{-1}(z \oplus w \oplus m)$  query. No feasible simulator can find message  $m$  from it with non-negligible probability and hence cannot return  $w \oplus m$ . However  $w \oplus m$  is the response when  $A$  interacts with the real situation  $(F^{P,E}, P, E, E^{-1})$ . So  $A$  can have a PRO attack to  $F$ . It is easy to see that  $H^P$  is preimage resistant and PrA (given the advise string  $\alpha = ((x_1, w_1), \dots, (x_q, w_q))$  and the target  $x$  (that helps to find  $m$  back) the extractor finds  $i$  for which  $f(w_i \oplus x) = x_i$  and then returns  $w_i \oplus x$ ).

The above example motivates us to define a computable message given an advise string. A message  $M$  is called computable from  $\alpha$  if there exists  $y$  such that  $\Pr[H^P(M) = y | \alpha] = 1$ . In other words, the computation of  $H^P(M) = y$  can be made without making any further  $P$ -queries. We require the existence of an efficient extractor  $\mathcal{E}_{\text{comp}}$ , called *computable message extractor*, which can list all computable messages given the advise string. We note that this is not same as weak-PrA as the extractor has to find all messages whose outputs can be computed to a value (unlike PrA, no such fixed target is given here). This notion does not involve any adversary.

**Definition 2.** A pair  $(H^P, \mathcal{E}_{\text{comp}})$  is called  $(q, q_H, \epsilon)$ -computable message aware or CMA if for any advise string  $\alpha$  with  $q$  pairs, the number of computable messages is at most  $q_H$  and  $\mathcal{E}_{\text{comp}}(\alpha)$  outputs all these. Moreover, for any non-computable messages  $M$ ,  $\Pr[H^P(M) = y | \alpha] \leq \epsilon, \forall y$ .

A hash function  $H^P$  is called  $(q, q_H, \epsilon, t_c)$ -computable message aware or CMA if there is  $\mathcal{E}_{\text{comp}}$  with run time  $t_c$  such that  $(H^P, \mathcal{E}_{\text{comp}})$  is  $(q, q_H, \epsilon)$ -CMA. In short we say that  $H^P$  is CMA if it is  $(q, q_H, \epsilon, t_c)$ -computable message aware where for any feasible  $q, q_H$  and  $t_c$  are feasible and  $\epsilon$  is negligible. We reconsider the above example  $H^P(m) = P(f(m)) \oplus m$  for an one-way permutation  $f$ . We have seen that it is both PI and PrA. However, there is no efficient extractor that can not find all computable messages given the advise string say  $(f(m), w)$ . In fact,  $m$  is computable but there is no way to know it by the extractor only from the advise string (extractor can know if the target  $f(m) \oplus w = H^P(m)$  is given).

To be a computable message aware, *the list of computable message has to be small or feasible so that an efficient computable message extractor can exist*. For example, the identity function has a huge set of computable messages given any advise string which cannot be listed by any efficient algorithm even though we theoretically know all these messages.

### 3.2 PRO Analysis of a Hash Function with Output Transformation $E(x) \oplus x$

In this section we prove that  $OT(H^P())$  is PRO whenever  $H^P$  is PrA, PI and CMA. We give an informal idea how the proof works. Note that for  $E$ -query,  $E(x) \oplus x$  almost behaves like a random oracle and hence PrA property of  $H^P$  takes care the simulation. This would be similar to the random oracle case except that we have to deal with the fact there is no collision on  $E$ . The simulation of responses of  $P$ -queries will be same as  $P$ . The non-trivial part is to response  $E^{-1}$  query. If the  $E^{-1}$ -query  $y$  is actually obtained by  $y = \mathcal{F}(M) \oplus H^P(M)$  then simulator has to find the  $M$  to give a correct response.

Since simulator has no idea about the  $\mathcal{F}(M)$  as he can not see  $\mathcal{F}$ -queries, the query  $y$  is completely random to him. However, he can list all computable messages and try to compute  $H^P(M)$  and  $\mathcal{F}(M)$ . This is why we need CMA property. The simulator should be able to list all computable messages only from the  $P$  query-responses. If he finds no such messages then he can response randomly. The simulator would be safe as long as there is no preimage attack to the random output. Now we provide a more formal proof.

Let  $F^{P,E}(M) = E(H^P(M)) \oplus H^P(M)$  and  $A$  be a PRO adversary making at most  $(q_0, q_1, q_2, q_3)$  queries to its four oracles with bit-size  $l_{max}$  for the longest  $\mathcal{O}_0$ -query. We assume that  $H^P(\cdot)$  is preimage resistant and  $(q^*, q_H, \epsilon)$ -computable message aware for an efficient computable message extractor  $\mathcal{E}_{comp}$  where  $q^* = q_1 + q_2 \text{NQ}[l_{max}]$ . Let  $q = q_H + q'$  and  $q' = q_0 + q_1 + q_2 + q_3$ . For any given PrA-extractor  $\mathcal{E}$ , we can construct a simulator  $S^{\mathcal{F}} = (S_1, S_2, S_3)$  (defined in the oracles of  $C_A$  in Fig. 3) that runs in time  $t^* = O(q^2 + q_3 \text{Time}(\mathcal{E}_{comp}))$ . Given any indistinguishability adversary  $A$  making at most  $(q_0, q_1, q_2, q_3)$  queries to its four oracles with bit-size  $l_{max}$  for the longest  $\mathcal{O}_0$ -query, there exists a PrA  $(q, q_2 + 1, t)$ -adversary  $C_A$  with runtime  $t = \text{Time}(A) + O(q_2 \cdot \text{Time}(\mathcal{E}) + q_0 + q_1 + (q_2 + q_0) \text{NQ}[l_{max}])$ . Now we state two lemmas which are useful to prove the main theorem of the section. Proof ideas of these lemmas are very similar to that of Lemma 9 and Lemma 11. The games  $G4$  and  $G5$  are defined in the Fig. 2. We use a simulation oracle  $\text{simE}$  which works given a runtime database  $E$ . A random element from the set  $\{0, 1\}^n \setminus \text{Range}(E)$  is returned for  $\text{simE}[1, y]$  whenever  $y$  is not in the domain of  $E$ . Similarly a random element from the set  $\{0, 1\}^n \setminus \text{Domain}(E)$  is returned in  $\text{simE}[-1, c]$  whenever  $c$  is not in the range of  $E$ . Whenever  $y$  or  $c$  are defined before the simulator oracle just returns the previous returned value. We use three such simulation oracles for  $E_0$  (which keeps the input output behavior of  $E$  due to  $\mathcal{O}_0$  queries only),  $E_1$  (which keeps the input output behavior of  $E$  due to  $\mathcal{O}_2$  and  $\mathcal{O}_3$  queries) and  $\bar{E}$  (which keeps the input output behavior of  $E$  for all queries, i.e. it is the union of the previous two unions).

**Lemma 2.**  $G4 \equiv (F^{P,E}, P, E, E^{-1})$ ,  $G5 \equiv (\mathcal{F}, S_1, S_2, S_3)$  and  $G4, G5$  are identical-until-Bad.

**Proof.** It is easy to see from the pseudocode that  $G4, G5$  are identical-until-Bad. The games  $G5$  and the oracles simulated by  $C_A$  (same as  $(\mathcal{F}, S_1, S_2, S_3)$ ) are actually identical. They have common random sources which are namely  $\mathcal{F}$ ,  $P$  and the simulated oracle  $\text{simE}_0$  (we can ignore the dead conditional statements which have boxed statements which are not actually executed in game  $G5$ ). Now it remains to show that  $G5$  is equivalent to a real game for a PRO attacker. Note that oracles  $\mathcal{O}_2$  and  $\mathcal{O}_3$  are statistically equivalent to a random permutation  $\bar{E}$  and its inverse which are simulated runtime. Moreover  $\mathcal{O}_0$  returns  $\mathcal{F}(M)$  if  $\bar{E}[y], \bar{E}^{-1}[c]$  are undefined or  $\bar{E}[y] = c$  where  $y = H^P(M)$  and  $c = \mathcal{F}(M) \oplus y$ . In all other cases  $\mathcal{O}_0(M)$  either computes or simulates  $c' = \bar{E}[y]$  and returns  $c' \oplus y$ . So  $\mathcal{O}_0(M)$  is statistically equivalent to the oracle  $\bar{E}(H^P()) \oplus H^P()$ . Hence  $G4$  is statistically equivalent to  $(F^{P,E}, P, E, E^{-1})$ . ■

The following result follows immediately from the fact that  $\mathcal{F}$  and  $H^P$  are statistically independent and  $\mathcal{F}$  is a random oracle.

**Lemma 3.** For any adversary  $C^{P,\mathcal{F}}$  making  $q$  queries to the  $n$ -bit random oracle  $\mathcal{F}$  we have  $\Pr[\mathcal{F}(M) \oplus H^P(M) = \mathcal{F}(M') \oplus H^P(M'), M \neq M' : (M, M') \leftarrow C] \leq q(q-1)/2^{n+1}$ .

**Lemma 4.** Whenever  $A^{G5}$  sets *Bad* true,  $C_A$  also sets one of the *Bad* events true. Moreover,

$$\Pr[C_A \text{ sets } \text{Bad true}] \leq \text{Adv}_{H^P, P, \mathcal{E}}^{\text{pra}}(C_A) + q_3 \times \text{Adv}_{H^P}^{\text{PI}}(q, t) + q_0 q_3 \epsilon + \frac{2q_0 q_3 + q_2 q_0}{2^n - q_0 - q_2 - q_3} + \frac{(q_H + q_2 + q_0)^2}{2^{n+1}}.$$

**Proof.** The first part of the lemma is straightforward and needs to be verified case by case. We leave the details for readers to verify. It is easy to see that whenever  $\text{Bad}_{\text{pra}}$  sets true  $C$  is successful in a PrA attack. Now we estimate the probability of the other bad events, from which the theorem follows.

Game	$G_4$	and $G_5$
	Initialize : $\mathbf{E} = \mathbf{E}_0 = \mathbf{E}_1 = \phi$ ; $\mathbf{H} = \mathbf{H}' = \beta = \phi$ , $\text{Bad} = \mathbf{F}$ ;	
300	On $\mathcal{O}_3$ - query $c$	
301	$S = \{X_1, \dots, X_r\} = \mathcal{E}_{\text{comp}}(\beta)$ ;	
302	For all $1 \leq i \leq r$ do	
303	$y_i = H^{\bar{\mathcal{O}}_1}(X_i) := \mathbf{H}[X_i]$ ; $\mathcal{F}(X_i) = z_i$ ; $c_i = y_i \oplus z_i$ ;	
304	If $\exists$ unique $i$ s.t. $c_i = c$ ,	
305	If $\mathbf{E}_1[y_i] = \perp$ then $y = y_i$ ;	
306	Else if $\mathbf{E}_1[y_i] = c' \neq \perp$ then $\text{Bad} = T$ ; $y = \text{sim}\mathbf{E}_1[-1, c]$ ;	
307	Else if no $i$ s.t. $c_i = c$ , then $y = \text{sim}\mathbf{E}_1[-1, c]$ ;	
308	Else $\text{Bad} = T$ ; $y = \text{sim}\mathbf{E}_1[-1, c]$ ;	
309	If $\mathbf{E}_0^{-1}[c] \neq \perp$ and $\mathbf{E}_0^{-1}[c] \neq y_i$ then $\text{Bad} = T$ ; $y = \mathbf{E}_0^{-1}[c]$ ;	
310	If $y$ is $\mathbf{E}_1$ -simulated and $\mathbf{E}_0[y] \neq \perp$ then $\text{Bad} = T$ ; $y = \text{sim}\bar{\mathbf{E}}[-1, c]$ ;	
311	$\mathbf{E}_1[y] := c$ ; $\bar{\mathbf{E}}[y] := c$ ; return $y$ ;	
200	On $\mathcal{O}_2$ - query $y$	
201	$X = \mathcal{E}(y, \beta)$ ; $\text{Ext} \stackrel{\cup}{\leftarrow} (y, X)$ ;	
202	$y' = H^{\mathcal{O}_1}(X)$ , $\mathbf{H} \stackrel{\cup}{\leftarrow} (X, y')$ ; $z = \mathcal{F}(X)$ ; $c = z \oplus y'$ ;	
203	If $y' \neq y$ then	
204	$c' = \text{sim}\mathbf{E}_1[1, y]$ ;	
205	If $\mathbf{E}_0[y] \neq \perp$ then $\text{Bad} = T$ ; $c' = \mathbf{E}_0[y]$ ;	
206	Else if $c' \in \text{Range}(\mathbf{E}_0)$ then $\text{Bad} = T$ ; $c' = \text{sim}\bar{\mathbf{E}}[1, y]$ ;	
207	$\mathbf{E}_1[y] := c'$ ; $\bar{\mathbf{E}}[y] := c'$ ; return $c'$ ;	
208	If $y' = y$ and $c \in \text{Range}(\mathbf{E}_1)$ then	
209	$c' = \text{sim}\mathbf{E}_1[1, y]$ ;	
210	If $\mathbf{E}_0[y] \neq \perp$ , then $\text{Bad} = T$ ; $c' = \mathbf{E}_0[y]$ ;	
211	Else if $c' \in \text{Range}(\mathbf{E}_0)$ then $\text{Bad} = T$ ; $c' = \text{sim}\bar{\mathbf{E}}[1, y]$ ;	
212	$\mathbf{E}_1[y] := c'$ ; $\bar{\mathbf{E}}[y] := c'$ ; return $c'$ ;	
213	If $y' = y$ and $c \notin \text{Range}(\mathbf{E}_1)$ then	
214	If $\mathbf{E}_0[y] \neq \perp$ , then $\text{Bad} = T$ ; $c = \mathbf{E}_0[y]$ ;	
215	Else if $c \in \text{Range}(\mathbf{E}_0)$ then $\text{Bad} = T$ ; $c = \text{sim}\bar{\mathbf{E}}[1, y]$ ;	
216	$\mathbf{E}_1[y] := c$ ; $\bar{\mathbf{E}}[y] := c$ ; return $c$ ;	
100	On $\mathcal{O}_1$ - query $u$	
101	$v = P(u)$ ; $\beta \stackrel{\parallel}{\leftarrow} (u, v)$ ;	
102	return $v$ ;	
000	On $\mathcal{O}_0$ - query $M$	
001	$z = \mathcal{F}(M)$ ; $y = H^P(M)$ ; $c = z \oplus y$ ;	
002	If $\exists M'$ s.t. $M \neq M'$ and $(M', y) \in \mathbf{H}'$ then $\text{Bad} = T$ ; $\mathbf{H} \stackrel{\cup}{\leftarrow} (M, y)$ ; $z = \mathcal{F}(M')$ ; return $z$ ;	
003	$\mathbf{H}' \stackrel{\cup}{\leftarrow} (M, y)$ ; $\mathbf{H} \stackrel{\cup}{\leftarrow} (M, y)$ ;	
004	If $\bar{\mathbf{E}}[y] = \perp \wedge \bar{\mathbf{E}}^{-1}[c] = \perp$ then $\bar{\mathbf{E}}[y] := c$ ; $\mathbf{E}_0[y] := c$ ; return $z$ ;	
005	If $\bar{\mathbf{E}}[y] = c$ then $\mathbf{E}_0[y] := c$ ; return $z$ ;	
006	If $\bar{\mathbf{E}}[y] \neq \perp \wedge \bar{\mathbf{E}}[y] \neq c$ then $\text{Bad} = T$ ; $z = \bar{\mathbf{E}}[y] \oplus y$ ; return $z$ ;	
007	If $\bar{\mathbf{E}}[y] = \perp \wedge \bar{\mathbf{E}}^{-1}[c] \neq \perp$ then $\text{Bad} = T$ ; $\text{sim}\bar{\mathbf{E}}[1, y] = c'$ ; $\bar{\mathbf{E}}[y] := c'$ ; $\mathbf{E}_0[y] = c'$ ; $z = c' \oplus y$ ; return $z$ ;	

**Fig. 1.**  $G_4$  executes with boxed statements whereas  $G_5$  executes without these.  $G_4$  and  $G_5$  perfectly simulate  $(F^{P,E}, P, E, E^{-1})$  and  $(\mathcal{F}, S_1, S_2, S_3)$ , respectively. Clearly  $G_4$  and  $G_5$  are identical-until-Bad.

The Oracles $\mathcal{O}_2$ (or $S_2$ ) and $\mathcal{O}_3$ (or $S_3$ )	The oracles $\mathcal{O}_0$ (or $\mathcal{F}$ ), $\mathcal{O}_1$ (or $P$ ) and Finalization
/The VIL random oracle $\mathcal{F}$ is simulated by $C_A$ /	/The VIL random oracle $\mathcal{F}$ is simulated by $C_A$ /
Initialize : $E_1 = \mathcal{L} = \mathcal{L}_1 = F' = H = \beta = \phi$ ;	100 On $\mathcal{O}_1$ - query $u$
Run $A$ and response its oracles	101 $v = P(u)$ ; $\beta \stackrel{\parallel}{\leftarrow} (u, v)$ ; return $v$ ;
300 On $\mathcal{O}_3$ - query $c$	000 On $\mathcal{O}_0$ (or $\mathcal{F}$ )- query $M$
301 $S = \{X_1, \dots, X_r\} = \mathcal{E}_{\text{comp}}(\beta)$ ;	001 $z = \mathcal{F}(M)$ ; $\mathcal{L} \stackrel{\cup}{\leftarrow} M$ ;
302 For all $1 \leq i \leq r$ do	Finalization()
303 $y_i = H^{\mathcal{O}_1}(X_i) := H[X_i]$ ; $\mathcal{F}(X_i) = z_i$ ;	501 If collision in $F'$ then $\text{Bad}_{F_1} = T$ ;
304 $c_i = y_i \oplus z_i$ ; $F'[X_i] = c_i$ ; $\mathcal{L}_1 \stackrel{\cup}{\leftarrow} X$ ;	502 If collision in $H$ then $\text{Bad}_{PrA} = T$ ; $\text{Finish}()$ ;
305 If $\exists$ unique $i$ , $c_i = c$ ,	503 For all $M \in \mathcal{L}$ do
306 If $E_1[y_i] = \perp$ then $y = y_i$	504 $z = \mathcal{F}(M)$ , $H[M] = H^P(M) = y$ ;
307 Else if $\mathcal{O}_3(c') = y_i$ was queried and	505 $F'[M] = c = y \oplus z$ ;
308 no $i$ on that query then	506 If $F'[X] = c$ , $X \neq M$ then $\text{Bad}_{F_1} = T$ ;
309 $\text{Bad}_{PI} = T$ ; $y = \text{simE}_1[-1, c]$ ;	507 If $H[X] = y$ , $X \neq M$ then $\text{Bad}_{PrA} = T$ ; $\text{Finish}()$ ;
310 Else if no $i$ then $y = \text{simE}_1[-1, c]$ ;	508 If $\text{Ext}[y] \neq \perp$ , $M$ then $\text{Bad}_{PrA} = T$ ; $\text{Finish}()$ ;
311 Else $\text{Bad}_{F_1} = T$ ; $y = \text{simE}_1[-1, c]$ ;	509 If $\mathcal{O}_2(y) = c_i$ , $y \neq y_i$
312 $E_1[y] = c$ ; return $c$ ;	510 then $\text{Bad}_{E_1} = T$ ;
200 On $\mathcal{O}_2$ - query $y := y_i$ , $i = i + 1$	511 Else if $\mathcal{O}_3(c_i) = y \neq y_i$ after $M_i$ -query
201 $X = \mathcal{E}(y_i, \beta)$ ; $\text{Ext} \stackrel{\cup}{\leftarrow} (y, X)$ ;	512 then $\text{Bad}_{\text{comp}} = T$ ;
202 $y' = H^{\mathcal{O}_1}(X)$ , $H \stackrel{\cup}{\leftarrow} (X, y')$ ; $z = \mathcal{F}(X)$ ;	513 Else if $\mathcal{O}_3(c_i) = y \neq y_i$ before $M_i$ -query
203 $\mathcal{L}_1 \stackrel{\cup}{\leftarrow} X$ ; $c = z \oplus y$ ; $F'[X] = c$ ;	514 then $\text{Bad}_{F_2} = T$ ;
204 If $y' \neq y$	515 Else if $\mathcal{O}_3(c) = y_i$ after $M_i$ -query, $c \neq c_i$
205 then $c = \text{simE}_1[1, y]$ ;	516 then $\text{Bad}_{E_2} = T$ ;
206 If $y' = y$ , $\mathcal{O}_3(c)$ was queried	517 Else if $\mathcal{O}_3(c) = y_i$ before $M_i$ -query, $c \neq c_i$
207 then $\text{Bad}_{F_1} = T$ ; $c = \text{simE}_1[1, y]$	518 then $\text{Bad}_{PI} = T$ ;
208 If $y' = y$ , $\mathcal{O}_2(y'') = c$ was queried	519 return $\perp$ ;
209 then $c = \text{simE}_1[1, y]$	
210 $E_1[y] = c$ ; return $c$ ;	

**Fig. 2.** The oracles simulated by PrA adversary  $C_A$  to response an PRO adversary  $A$ . It has a finalization procedure which also sets some bad event true.  $\text{Finish}()$  which is defined similarly as in Fig. 7.2. It mainly completes the PrA attack. It is easy to see that whenever  $\text{Finish}()$  is being executed either we have a collision in  $H^P$  or there is some message  $M$  such that  $H^P(M) = y$ ,  $(y, M) \notin \text{Ext}$ .

1.  $\Pr[\text{Bad}_{mPI} = T] \leq q_3 \times \text{Adv}_{HP}^{PI}(q^*, t^*)$ . It is easy to see that whenever  $\text{Bad}_{mPI}$  sets true we have a preimage of some  $y_i$  which is generated from  $\text{simE}_1$ . Note that  $\text{simE}_1$  responds exactly like a random permutation. So by lemma 1 we have the bound.
2.  $\Pr[\text{Bad}_{\text{comp}} = T] \leq q_0 q_3 \epsilon$ . Whenever  $\text{Bad}_{\text{comp}}$  sets true we must have  $H^P(M_i) = y_i$  where  $M_i$  is not computable (since it is not in the list given by  $\mathcal{E}_{\text{comp}}$ ). So from computable message awareness definition we know that  $\Pr[H^P(M_i) = y_i] \leq \epsilon$ . The number of such  $M_i$ 's and  $y_i$ 's are at most  $q_0 q_3$ .
3. All other bad events hold due to either the special outputs of  $\mathcal{F}(M)$  (when  $\text{Bad}_{F_1} = T$  or  $\text{Bad}_{F_2} = T$ , we apply the lemma 3) or the special outputs of  $\text{simE}(c)$  (when  $\text{Bad}_{E_1} = T$  and  $\text{Bad}_{E_2} = T$ ). One can show the following:

$$\Pr[\text{Bad}_{E_1 \vee E_2 \vee F_1 \vee F_2} = T] \leq \frac{2q_0 q_3 + q_2 q_0}{2^n - q_0 - q_2 - q_3} + \frac{(q_H + q_2 + q_0)^2}{2^{n+1}}.$$

We have used Lemma 3 to bound the bad event  $\text{Bad}_{F_1}$ . The other bad event probability calculations are straightforward to calculate. We leave details to readers.  $\blacksquare$

The main theorem of the section follows from the above lemmas.

**Theorem 1.** For any indistinguishability adversary  $A$  making at most  $(q_0, q_1, q_2, q_3)$  queries to its four oracles with bit-size  $l_{max}$  for the longest  $\mathcal{O}_0$ -query, there exists a PrA  $(q, q_2 + 1, t)$ -adversary  $C_A$  with runtime  $t = \text{Time}(A) + O(q_2 \cdot \text{Time}(\mathcal{E}) + q_0 + q_1 + (q_2 + q_0)NQ[l_{max}])$  and

$$\mathbf{Adv}_{F,S}^{\text{pro}}(A) \leq \mathbf{Adv}_{HP,P,\mathcal{E}}^{\text{pra}}(C_A) + q_3 \times \mathbf{Adv}_{HP}^{\text{PI}}(q, t) + q_0 q_3 \epsilon + \frac{2q_0 q_3 + q_2 q_0}{2^n - q_0 - q_2 - q_3} + \frac{(q_H + q_2 + q_0)^2}{2^{n+1}},$$

where  $H^P(\cdot)$  is preimage resistant and  $(q^*, q_H, \epsilon)$ -computable message aware for an efficient computable message extractor  $\mathcal{E}_{\text{comp}}$  where  $q^* = q_1 + q_2 NQ[l_{max}]$ .

#### 4 Application of Theorem 1: PRO analysis of a variant of Grøstl

As an application of Theorem 1 we prove the PRO analysis of a variant of Grøstl hash function in which the output transformation is based on a permutation independent of the permutations used in iteration. The compression function  $f^{P,Q}(z, m) = P(z \oplus m) \oplus Q(m) \oplus z$ , where  $P$  and  $Q$  are invertible permutations on  $n$ -bit modeled to be independent random permutations (adversary can also have access to inverses). The hash function  $H^{P,Q}$  of Grøstl without output transformation is Merkle-Damgård with strengthening (SMD) and the output transformation is  $\text{trunc}_s(P(x) \oplus x)$ . In case of the variant of the hash function, the output transformation is same as the previous section, i.e.  $OT(x) = E(x) \oplus x$  where  $E$  is a random permutation independent with  $P$  and  $Q$ . Since SMD preserves preimage awareness and preimage resistance of the underlying compression function, we focus on the proof of the compression function  $f^{P,Q}$  to prove PrA and preimage resistance.

**Lemma 5.** For any advise string  $\alpha_P$  and  $\alpha_Q$  of sizes  $(q_1 + q_2)$  and  $(q_3 + q_4)$  (for  $(P, P^{-1})$  and  $(Q, Q^{-1})$  respectively) the number of computable messages is at most  $q_f \leq (q_1 + q_2)(q_3 + q_4)$  and for any non-computable message  $(z, m)$ ,  $\Pr[f^{P,Q}(z, m) = c | \alpha_P, \alpha_Q] \leq \frac{1}{2^n - \max(q_1 + q_2, q_3 + q_4)}$ . Moreover there is an efficient computable message extractor  $\mathcal{E}_{\text{comp}}^f$  which can list all computable messages.

The proof of the above lemma is straightforward and is left to readers to verify. Let  $\mathbf{q} = (q_1, q_2, q_3, q_4)$ . Now given the computable message extractor one can define a PrA extractor  $\mathcal{E}^f$  as follows:  $\mathcal{E}^f(y, \alpha_P, \alpha_Q) = (z, m)$  if there exists a unique computable message (from the list given by  $\mathcal{E}_{\text{comp}}^f$ ) such that  $f(z, m) = y$ , otherwise it returns any arbitrary message.

**Lemma 6.**  $\mathbf{Adv}_{HP,Q}^{\text{PI}}(\mathbf{q}, t) \leq \mathbf{Adv}_{f^{P,Q}}^{\text{PI}}(\mathbf{q}, t) \leq \frac{(q_1 + q_2)(q_3 + q_4)}{2^n - \max(q_1 + q_2, q_3 + q_4)}$  for any  $t$ .

$H^{P,Q}$  of Grøstl is a function based on SMD (Merkle-Damgård with strengthening). Since SMD preserves preimage awareness of the underlying compression function [7, 8], we focus on the proof of the compression function of  $H^{P,Q}$ . The compression function  $f(h, m) = P(h \oplus m) \oplus Q(m) \oplus h$ , where  $P$  and  $Q$  is invertible permutations on  $n$ -bit. For the preimage awareness proof of  $f$ , we assume that  $P$  and  $Q$  are independent ideal permutations.

**Lemma 7.** Let  $\mathbf{q} = (q_1, q_2, q_3, q_4)$  and let  $f^{P,Q} = P(h \oplus m) \oplus Q(m) \oplus h$ , where  $P$  and  $Q$  are invertible ideal permutations. For any preimage awareness  $(\mathbf{q}, e, t)$ -adversary  $A$  making at most  $\mathbf{q}$  queries to the oracles  $P, P^{-1}, Q, Q^{-1}$ , there exists an extractor  $\mathcal{E}$  such that

$$\mathbf{Adv}_{f^{P,Q},P,Q,\mathcal{E}}^{\text{pra}}(A) \leq \frac{e(q_1 + q_2)(q_3 + q_4)}{2^n - \max(q_1 + q_2, q_3 + q_4)} + \frac{(q_1 + q_2)^2(q_3 + q_4)^2}{2(2^n - \max(q_1 + q_2, q_3 + q_4))},$$

**Proof.** We use Lemma 3.3 and Lemma 3.4 in [8]. The two lemmas are related to the definition of the weak preimage awareness, where the extractor is a honest multi-point extractor  $\mathcal{E}^+$  which can output a set  $\mathcal{X}$ , all elements of  $\mathcal{X}$  should be real preimages for a committed value. So, we have to show



the following inequalities. First, we need to show that there exists a honest multi-point extractor  $\mathcal{E}^+$  such that for any weak preimage awareness  $(\mathbf{q}, 1, t)$ -adversary  $B$ ,  $\text{Adv}_{f^{P,Q}, P, Q, \mathcal{E}^+}^{1-\text{wpra}}(B) \leq \frac{(q_1+q_2)(q_3+q_4)}{2^n}$ . Second, we need to show that for any collision-finding adversary  $C$  making the same number of oracle queries of  $A$ ,  $\text{Adv}_{f^{P,Q}, P, Q}^{\text{ctr}}(C) \leq \frac{(q_1+q_2)(q_3+q_4)}{2^{n+1}}$ . Then, by applying Lemma 3.3 and Lemma 3.4 in [8], the theorem holds. Let  $\alpha_i$  be the advice string at the time when  $A_{\text{guess}}^{P, P^{-1}, Q, Q^{-1}}$  commits  $y_i$  and initialize  $\mathcal{X} = \emptyset$ .

algorithm  $\mathcal{E}^+(y_i, \alpha_i)$  :

For all  $M$ 's such that  $f^{P,Q}$  is computable from  $\alpha_i$ ,  
if  $f^{P,Q}(M) = y_i$ , then  $\mathcal{X} = \mathcal{X} \cup \{M\}$   
Return  $\mathcal{X}$

It is easy to check that all elements of  $\mathcal{X}$  are real preimages for the committed value  $y_i$ . Note that  $B$  is any weak preimage awareness  $(\mathbf{q}, 1, t)$ -adversary. So, once  $B_{\text{guess}}^{P, P^{-1}, Q, Q^{-1}}$  commits  $y$ ,  $B$  wins the weak preimage awareness game if  $B$  finds a new preimage image  $M'$  such that  $f^{P,Q}(M') = y$  and  $M' \notin \mathcal{X}$ . Since  $P$  and  $Q$  are independent ideal permutations and  $B$  can make at most  $\mathbf{q}$  queries to  $P, P^{-1}, Q, Q^{-1}$ , there are  $(q_1 + q_2)(q_3 + q_4)$  computable messages and  $\Pr[f^{P,Q}(M) = y] \leq \frac{1}{2^{n - \max(q_1+q_2, q_3+q_4)}}$  for each  $M$ . So the probability that  $B$  wins is at most  $\frac{(q_1+q_2)(q_3+q_4)}{2^{n - \max(q_1+q_2, q_3+q_4)}}$ .

Next, we consider the advantage of collision-resistance of  $f^{P,Q}$ . Since  $B$  can make at most  $\mathbf{q}$  queries, there exist at most  $(q_1 + q_2)(q_3 + q_4)$   $M$ 's such that  $f^{P,Q}(M)$  is computable. And the probability that  $f^{P,Q}(M) = f^{P,Q}(M')$  for  $M \neq M'$  is at most  $\frac{1}{2^{n - \max(q_1+q_2, q_3+q_4)}}$ . Therefore, the probability that there exists a collision of  $f^{P,Q}$  is at most  $\frac{(q_1+q_2)^2(q_3+q_4)^2}{2^{(2^n - \max(q_1+q_2, q_3+q_4))}}$ .  $\blacksquare$

Now we restrict to all advise strings which do not have any collision on  $f^{P,Q}$  and we call such an advise string *a non-collision advise string*. For any such advise string, the number of computable messages for a hash function should be at most the number of computable messages for the compression function since the last invocations of the compression function must be distinct for all computable messages (otherwise we have a collision). So  $q_H \leq (q_1+q_2)(q_3+q_4)$ . There is also an efficient computable message extractor  $\mathcal{E}_{\text{comp}}$  which can list all computable messages. One can construct the list in a backward manner. That is, starting from the final output of a computable message one can find out the last chaining value and message block (there cannot be more than one as there are no collisions). We can go back until we get a chaining value which is same as the initial value or the length of the message becomes more than  $l_{\text{max}}$ . Let  $t_{\text{comp}}$  denote the runtime of the computable message extractor. The following lemma says that for any non-computable message the outputs are unpredictable and hence  $H^{P,Q}$  is computable message aware.

**Lemma 8.** *For any non-computable message  $M$ ,*

$$\Pr[H^{P,Q}(M) = y | \alpha] \leq \frac{(q_1 + q_2 + 1)(q_3 + q_4 + 1)}{2^n - \max(q_1 + q_2, q_3 + q_4)} + \frac{l_{\text{max}}(q_1 + q_2 + l_{\text{max}} + 1)(q_3 + q_4 + l_{\text{max}})}{2^n - (q_1 + q_2 + l_{\text{max}})} := \epsilon,$$

where  $l_{\text{max}}$  is the maximum block-length of the padded input message of  $H^{P,Q}$ . Hence  $H^{P,Q}$  is  $(\mathbf{q}, (q_1 + q_2)(q_3 + q_4), \epsilon, t_{\text{comp}})$ -CMA.

**Proof.** Let  $h_i$  and  $g_i$  be the input and the output of  $P$  of the  $i$ -th compression function  $f^{P,Q}$ . Let  $z_i$  be the output of the  $i$ -th compression function  $f^{P,Q}$  and let  $\alpha$  be the advice string at some point. Since the number of queries to  $(P, P^{-1}, Q, Q^{-1})$  is  $(q_1, q_2, q_3, q_4)$ ,  $\alpha$  has the information of  $(q_1 + q_2)$  input-output pairs of  $P$  and  $(q_3 + q_4)$  input-output pairs of  $Q$ . Since the number of blocks of each padded message is bounded by  $l_{\text{max}}$ , there exist at most  $h_{l_{\text{max}}}$  for each padded message. Now we want

to compute the computable message awareness advantage for any  $(q = (q_1, q_2, q_3, q_4), t)$ -adversary  $A$ . In other words, we want to compute the upper bound of the following probability: For any advise string  $\alpha$  of at most size  $q = (q_1, q_2, q_3, q_4)$  and any  $M$  which is not computable from  $\alpha$  and any  $y$ ,  $\Pr[H^{P,Q}(M) = y|\alpha] \leq \epsilon$ , where  $\epsilon$  is as given in the theorem. Since  $M$  is not computable from  $\alpha$ , there exists the smallest  $i$  such that we cannot compute  $z_i$ . We let the block length of the padded message corresponding to  $M$  be  $\ell$ , where  $\ell \leq l_{max}$ .

We consider two cases. One is the case of  $i = \ell$ . The other is the case of  $i < \ell$ . In the first case, we can compute easily that  $\Pr[H^{P,Q}(M) = y|\alpha] \leq \max(\frac{q_3+q_4}{2^n-(q_1+q_2)}, \frac{q_1+q_2}{2^n-(q_3+q_4)})$ . So we have only to compute the bound in the second case. Firstly, we compute an upper bound  $\epsilon_1$  of the probability that one of  $h_{i+1}, \dots, h_\ell$  is obtained as an input of  $P$  from  $\alpha$ , which means that one of  $h_i, \dots, h_\ell$  is not new. Secondly, we compute the upper bound  $\epsilon_2$  of the probability that  $H^{P,Q}(M) = y$  under the condition that all  $h_i, \dots, h_\ell$  are new and  $\alpha$  is given.

$$\begin{aligned} & \Pr[H^{P,Q}(M) = y|\alpha] \\ & \leq \Pr[\exists j \text{ s.t. } h_j \text{ isn't new}] + \Pr[H^{P,Q}(M) = y|\alpha \wedge (h_i, \dots, h_\ell \text{ are new})] \Pr[h_i, \dots, h_\ell \text{ are new}] \\ & \leq \Pr[\exists j \text{ s.t. } h_j \text{ isn't new}] + \Pr[H^{P,Q}(M) = y|\alpha \wedge (h_i, \dots, h_\ell \text{ are new})] \leq \epsilon_1 + \epsilon_2 \end{aligned}$$

**Claim 1.**  $\Pr[\exists j \text{ s.t. } h_j \text{ isn't new}] \leq \frac{(q_1+q_2+1)(q_3+q_4+1)}{2^n - \max(q_1+q_2, q_3+q_4)} + \frac{l_{max}(q_1+q_2+l_{max})(q_3+q_4+l_{max})}{2^n - (q_1+q_2+l_{max})} = \epsilon_1$

**Proof.** Note that no attacker obtains  $z_i$  and  $i < \ell$ . Let  $C_j$  be the event that  $h_j$  is not new for  $i+1 \leq j \leq \ell$ . So,  $\Pr[\exists j \text{ s.t. } h_j \text{ isn't new}] = \Pr[C_{i+1}] + \sum_{j=i+2}^{\ell} \Pr[C_j | \overline{C_{j-1}}]$ . Since  $z_i$  is not known from  $\alpha$ , and  $P$  and  $Q$  are independent random permutations,  $\Pr[C_{i+1}] \leq \frac{(q_1+q_2+1)(q_3+q_4+1)}{2^n - \max(q_1+q_2, q_3+q_4)}$ . And for  $j \geq i+2$   $\Pr[C_j | \overline{C_{j-1}}] \leq \frac{(q_1+q_2+j-i)(q_3+q_4+j-i)}{2^n - (q_1+q_2+j-i)}$ . ■

**Claim 2.**  $\Pr[H^{P,Q}(M) = y|\alpha \wedge (h_i, \dots, h_\ell \text{ are new})] \leq \frac{q_3+q_4+\ell-i}{2^n - (q_1+q_2+\ell-i)} \leq \frac{q_3+q_4+l_{max}}{2^n - (q_1+q_2+l_{max})} = \epsilon_2$

**Proof.** That  $h_\ell$  is new means that the input of  $P$  of the final compression function is new, that is, the output  $g_\ell$  of  $P$  is almost random. And  $y$  is a given fixed value. So, with the distribution of  $g_i$  we can compute the probability regardless of the output of  $Q$  of the final compression function. Note that  $h_i$ 's are not the same. So, we need the term  $\ell$  in the above inequality. ■ ■

**Theorem 2.** Let  $Gr\oostl'(M) = P'(H^{P,Q}(M)) \oplus H^{P,Q}(M)$  where  $H^{P,Q}$  is  $Gr\oostl$  without the output transformation and  $P, Q, P'$  are independent random permutations. Then for any adversary making at most  $q$  queries to all its oracles the PRO-advantage is bounded by  $\ell_{max} q^2 q'^2 / 2^{n-2}$  if  $q' = q_1 + q_2 + q_3 + q_4 + l_{max} \leq 2^{n-1}$ .

**Proof.** The result follows from Lemma 9, 10, 11 and 12, and Theorem 1. ■

*Remark 1.* Our bound  $\ell_{max} q^2 q'^2 / 2^{n-2}$  in the variant of  $grostl$  seems to be reasonable as we indeed have collision on the compression function in  $2^{n/4}$  complexity i.e. the collision advantage is  $q^4 / 2^n$ . We believe the designers also noted that and this is why they consider at least double length hash function. We also strongly believe that the same bound can be achieved for original  $Gr\oostl$ . However to prove that we cannot apply Theorem 2 directly. This would be our one of the future research work.

## 5 PRO Analysis of Hash Functions with PGV Output Transformations

In the previous section, we considered the case that the final output transformation is  $OT(x) = E(x) \oplus x$ . In this section, we consider 20 PGV compression functions shown in Table 1 as candidates of the final output transformation  $OT$ . Such 20 PGV hash functions based on them were proved

to be collision resistant in the ideal cipher model [4]. More precisely, we will consider the case that  $F^{P,E}(M) = OT(H^P(M_1), M_2)$ , where  $E$  is an ideal cipher,  $M = M_1 || M_2$ ,  $H^P(M_1)$  corresponds to  $h_{i-1}$  and  $M_2$  corresponds to  $m_i$  in Table 1. Except for PGV 11, 13, 15-20 (See Example 2 and 3), Theorem 3 holds. The proof of the Theorem 3 is same as Davis-Meyer case. However we give the proof idea so that the reader could justify themselves.

**Theorem 3.** [PRO Construction via 12 PGVs] Let  $F^{P,E}(M) = OT(H^P(M_1), M_2)$ , where  $M = M_1 || M_2$ ,  $OT$  is any PGV constructions except for PGV 11, 13, 15-20,  $E^{-1}$  is efficiently computable, and  $E$  is an ideal cipher. For any indistinguishability adversary  $A$  making at most  $(q_0, q_1, q_2, q_3)$  queries to its four oracles with bit-size  $l_{max}$  for the longest  $\mathcal{O}_0$ -query, there exists a PrA  $(q, q_2 + 1, t)$ -adversary  $C_A$  with runtime  $t = \text{Time}(A) + O(q_2 \cdot \text{Time}(\mathcal{E}) + q_0 + q_1 + (q_2 + q_0)NQ[l_{max}])$  and

$$\text{Adv}_{F,S}^{\text{pro}}(A) \leq \text{Adv}_{HP,P,\mathcal{E}}^{\text{pra}}(C_A) + q_3 \times \text{Adv}_{HP}^{\text{PI}}(q, t) + q_0 q_3 \epsilon + \frac{2q_0 q_3 + q_2 q_0}{2^n - q_0 - q_2 - q_3} + \frac{(q_H + q_2 + q_0)^2}{2^{n+1}},$$

where  $H^P(\cdot)$  is preimage resistant and  $(q^*, q_H, \epsilon)$ -computable message aware for an efficient computable message extractor  $\mathcal{E}_{\text{comp}}$  where  $q^* = q_1 + q_2 NQ[l_{max}]$ .

**Proof Idea for Theorem 3:** Like to the Davis-Meyer case we only need to worry about the  $E^{-1}$  query since we have chosen those PGV compression functions which behave like random oracle if adversary makes only  $E$  queries. Note that 5-10 and 12 and 14 PGV have  $w_i$  as keys. So given a  $E_w^{-1}(y)$  query simulator can make the list of all  $h$  which can be computed, i.e.  $H^P(M) = h$ , and guess  $m = h \oplus w$ . Once simulator guesses  $m$  he can make  $\mathcal{F}$  queries  $(M, m)$  and obtains responses  $z$ 's. Now simulator can find a correct  $m$  if  $y$  is really obtained by some  $\mathcal{F}(M, m)$ . If there is no such  $m$ , simulator can response randomly and any bad behavior would be either bounded by the collision probability or by the preimage attack. The same argument works for PGV 1-4 since  $H^P(M)$  is XORed with the  $E()$  output. So simulator can verify the correct  $h$  among all computable hash outputs. ■

*Example 2.* See PGV 15 in Table 1, which is  $E_{m_i}(w_i) \oplus v$ , where  $w_i = h_{i-1} \oplus m_i$  and  $v$  is a constant. Now we want to give an indistinguishable attack on  $F^{P,E}$  based on PGV 15, even though  $H^P$  is preimage aware, preimage resistant, and  $(q, q_H, \epsilon)$ -computable message aware with feasible  $q$  and  $q_H$  and negligible  $\epsilon$ . Let  $H^P$  be an Merkle-damgård construction with strengthening, where the underlying compression function is a preimage aware function based on the ideal primitive  $P$ . As shown in [7, 8], SMD (Merkle-damgård construction with strengthening) preserves preimage awareness of the compression function. Also SMD preserves preimage resistance. So,  $H^P$  is also preimage aware and preimage resistant. We assume that  $H^P$  is  $(q, q_H, \epsilon)$ -computable message aware with feasible  $q$  and  $q_H$  and negligible  $\epsilon$ . Now we construct an indistinguishability adversary  $A$  for  $F^{P,E}(M) = OT(H^P(M_1), M_2)$ , where  $OT(x, y) = E_y(x \oplus y) \oplus v$  is PGV 15, and  $v$  is a constant. First,  $A$  chooses a random query  $M = M_1 || M_2$  to  $\mathcal{O}_1$ , where  $(\mathcal{O}_1, \mathcal{O}_2, \mathcal{O}_3, \mathcal{O}_4)$  is  $(F^{P,E}, P, E, E^{-1})$  or  $(\mathcal{F}, S_1^{\mathcal{F}}, S_2^{\mathcal{F}}, S_3^{\mathcal{F}})$  for any simulator  $S^{\mathcal{F}} = (S_1^{\mathcal{F}}, S_2^{\mathcal{F}}, S_3^{\mathcal{F}})$ .  $A$  gets its response  $z$  from  $\mathcal{O}_1$ . And  $A$  hands  $(M_2, z \oplus v)$  over to  $\mathcal{O}_4$ . Then,  $A$  gets its response  $h$  from  $\mathcal{O}_4$ .  $A$  makes a new query  $(M'_2, h \oplus M_2 \oplus M'_2)$  to  $\mathcal{O}_3$ . Then,  $A$  gets its response  $c$  from  $\mathcal{O}_3$ . Finally,  $A$  hands  $(M_1 || M'_2)$  over to  $\mathcal{O}_1$  and gets its response  $z'$ . If  $(\mathcal{O}_1, \mathcal{O}_2, \mathcal{O}_3, \mathcal{O}_4)$  is  $(F^{P,E}, P, E, E^{-1})$ ,  $c \oplus v = z'$ . On the other hand, since any simulator cannot know  $M_1$ ,  $c \oplus v \neq z'$  with high probability. Therefore,  $F^{P,E}$  based on PGV 15 is not indistinguishable from a VIL random oracle  $\mathcal{F}$ . In the similar way, cases of PGV 11, 13, and 16 are not secure.

*Example 3.* See PGV 17 in Table 1, which is  $E_{h_{i-1}}(m_i) \oplus m_i$ . Firstly, we define a hash function  $H^P(x) : \{0, 1\}^* \rightarrow \{0, 1\}^n$  as follows, where  $c$  is any  $n$ -bit constant and  $P$  is a VIL random oracle with  $n$ -bit output size.

$$\begin{aligned}
H^P(x) &= c && \text{if } x = c \\
&= P(x) && \text{otherwise,}
\end{aligned}$$

In the similar way with the proofs of Section 6, we can prove that  $H^P$  is preimage aware, preimage resistant,  $(q, q_H (= q+1), 1/2^n)$ -computable message aware, where  $q_H$  is the number of computable messages obtained from  $q$  input-output pairs of  $P$ . Now we want to give an indistinguishable attack on  $F^{P,E}$  based on PGV 17. We construct an indistinguishability adversary  $A$  for  $F^{P,E}(M) = OT(H^P(M_1), M_2)$ , where  $OT(x, y) = E_x(y) \oplus y$  is PGV 17. First,  $A$  chooses a query  $M = c || M_2$  to  $\mathcal{O}_1$ , where  $M_2$  is a randomly chosen one-block message.  $A$  gets its response  $z$  from  $\mathcal{O}_1$ . And  $A$  hands  $(c, z \oplus M_2)$  over to  $\mathcal{O}_4$ . Then,  $A$  gets its response  $m$  from  $\mathcal{O}_4$ . If  $(\mathcal{O}_1, \mathcal{O}_2, \mathcal{O}_3, \mathcal{O}_4)$  is  $(F^{P,E}, P, E, E^{-1})$ ,  $m = M_2$ . On the other hand, since any simulator cannot know  $M_2$ ,  $m \neq M_2$  with high probability. Therefore,  $F^{P,E}$  based on PGV 17 is not indistinguishable from a VIL random oracle  $\mathcal{F}$ . In the similar way, cases of PGV 18-20 are not secure.

Case	PGV	Case	PGV
1	$E_{m_i}(h_{i-1}) \oplus h_{i-1}$	11	$E_{m_i}(h_{i-1}) \oplus v$
2	$E_{m_i}(w_i) \oplus w_i$	12	$E_{w_i}(h_{i-1}) \oplus v$
3	$E_{m_i}(h_{i-1}) \oplus w_i$	13	$E_{m_i}(h_{i-1}) \oplus m_i$
4	$E_{m_i}(w_i) \oplus h_{i-1}$	14	$E_{w_i}(h_{i-1}) \oplus w_i$
5	$E_{w_i}(m_i) \oplus m_i$	15	$E_{m_i}(w_i) \oplus v$
6	$E_{w_i}(h_{i-1}) \oplus h_{i-1}$	16	$E_{m_i}(w_i) \oplus m_i$
7	$E_{w_i}(m_i) \oplus h_{i-1}$	17	$E_{h_{i-1}}(m_i) \oplus m_i$
8	$E_{w_i}(h_{i-1}) \oplus m_i$	18	$E_{h_{i-1}}(w_i) \oplus w_i$
9	$E_{w_i}(m_i) \oplus v$	19	$E_{h_{i-1}}(m_i) \oplus w_i$
10	$E_{w_i}(m_i) \oplus w_i$	20	$E_{h_{i-1}}(w_i) \oplus m_i$

**Table 1.** 20 Collision Resistant PGV Hash Functions in the Ideal Cipher Model [4]. ( $w_i = m_i \oplus h_{i-1}$ )

## 6 PRO Attacks on Hash Functions with Some DBL Output Transformations

In this section, we consider DBL (Double Block Length) output transformations. Unfortunately, many constructions with DBL output transformations are not indistinguishably secure, even though  $H^P$  satisfies all requirements as mentioned before.

### 6.1 The case of $OT(x) = f(x) || f(x \oplus p)$

There are several DBL compression functions of the form  $OT(x) = f(x) || f(x \oplus p)$  [18, 12] where  $p$  is a non-zero constant and  $f$  is any function. See Fig. 3, where  $F_1$  was proposed by Nandi in [18] and  $F_2 \sim F_7$  were proposed by Hirose in [12]. In fact, the  $T$  in  $F_1$  Fig. 3 is a permutation without any fixed point and  $T^2 = id$ . Here, we consider only  $T(x) = x \oplus p$ , where  $p$  is a non-zero constant. We define a hash function  $H^P(x) : \{0, 1\}^* \rightarrow \{0, 1\}^n$  as follows, where  $c$  is any  $n$ -bit constant and  $P$  is a VIL random oracle with  $n$ -bit output size.  $1^n$  and  $0^n$  indicate the  $n$ -bit one and zero strings.

$$\begin{aligned}
H^P(x) &= c \oplus p && \text{if } x = 0^n \\
&= c && \text{if } x = 1^n \\
&= P(x) && \text{otherwise,}
\end{aligned}$$

**Theorem 4.** Let  $H^P$  be the above hash function. For any preimage awareness  $(q, e, t)$ -adversary  $A$  making at most  $q$  queries to the oracles  $P$ , there exists an extractor  $\mathcal{E}$  such that

$$\text{Adv}_{H^P, P, \mathcal{E}}^{\text{pra}}(A) \leq \frac{eq}{2^n} + \frac{(q+2)^2}{2^{n+1}}, \text{ and } q_H \leq q+2.$$

**Proof.** We use Lemma 3.3 and Lemma 3.4 in [8]. First, we show that there exists a multi-point extractor  $\mathcal{E}^+$  such that for any weak preimage awareness  $(q, 1, t)$ -adversary  $B$ ,  $\text{Adv}_{H^P, P, \mathcal{E}^+}^{1\text{-wpra}}(B) \leq \frac{q}{2^n}$ . Second, we show that for any collision-finding adversary  $C$  making the same number of  $P$  queries as that of  $P$  queries of  $A$ ,  $\text{Adv}_{H^P, P}^{\text{cr}}(C) \leq \frac{(q+2)^2}{2^{n+1}}$ . Then, by applying Lemma 3.3 and Lemma 3.4 in [8], the theorem holds.  $\alpha_i$  is the advice string at the time when  $A_{\text{guess}}^{P, P^{-1}, Q, Q^{-1}}$  commits  $y_i$  and  $\mathcal{X} = \emptyset$ .

algorithm  $\mathcal{E}^+(y_i, \alpha_i)$  :

For all  $M$ 's such that  $H^P$  is computable from  $\alpha_i$ ,  
if  $H^P(M) = y_i$ , then  $\mathcal{X} = \mathcal{X} \cup \{M\}$   
Return  $\mathcal{X}$

Note that  $B$  is any weak preimage awareness  $(q, 1, t)$ -adversary. So, once  $B_{\text{guess}}^P$  commits  $y$ ,  $B$  wins the weak preimage awareness game if  $B$  finds a new preimage image  $M'$  such that  $H^P(M') = y$  and  $M' \notin \mathcal{X}$ . Since  $P$  is a VIL random oracle and  $B$  can make at most  $q$  queries to  $P$ , the probability that  $B$  wins is at most  $\frac{q}{2^n}$ .

Next, we consider the advantage of collision-resistance of  $H^P$ . Since  $C$  can make at most  $q$  queries to  $P$ , and  $H^P(0)$  and  $H^P(1)$  are computed without any query, there exist at most  $q+2$   $M$ 's such that  $H^P(M)$  is computable. And the probability that  $H^P(M) = H^P(M')$  for  $M \neq M'$  is  $2^{-n}$ . Therefore, the probability that there exists a collision of  $H^P$  is at most  $\frac{(q+2)^2}{2^{n+1}}$ .

Finally, we will show that  $q_H \leq q+2$ . Since  $H^P(0)$  and  $H^P(1)$  are computable without any query to  $P$  and the maximum number of queries to the oracle  $P$  is  $q$ , the number  $q_H$  of messages  $M$ 's such that  $H^P(M)$  is computable from all query-response pairs to  $P$  is at most  $q+2$ . ■

**Theorem 5.** Let  $H^P$  be the above hash function. Let  $q$  be the maximum number of queries to  $P$ . For any preimage-finding adversary  $A$  with  $q$  queries to  $P$ ,  $\text{Adv}_{H^P}^{\text{PI}}(A) \leq \frac{3+q}{2^n}$ . For any  $n$ -bit  $y$  and any  $M$  not computable from any advice string  $\alpha$  which consists of  $q$  query-response pairs of  $P$ ,  $\Pr[H^P(M) = y|\alpha] \leq 1/2^n$ .

**Proof.** The preimage-finding adversary  $A$  will receive a random  $n$ -bit value  $h$ . If  $h$  is one of  $c \oplus p$  and  $c$ ,  $A$  can find a preimage of it very easily, because  $0^n$  is a preimage for the output  $c \oplus p$ , and  $1^n$  is a preimage for the output  $c$ . If  $h$  is not  $c \oplus p$  or  $c$ ,  $A$  can access to the oracle  $P$  until he gets its preimage. Note that  $P$  is a VIL random oracle and  $q$  is the maximum number of queries to  $P$ . So, the probability that  $A$  finds a preimage from responses of queries to  $P$  is  $q/2^n$ . If  $A$  doesn't find any preimage for  $h$  from the query-response pairs of  $P$ ,  $A$  can just output any  $M^*$  expecting that  $M^*$  is a preimage for  $h$ , which occurs with probability  $1/2^n$ . Therefore, we can get the following relation:  $\text{Adv}_{H^P}^{\text{PI}}(A) = \Pr[H^P(M^*) = h : M^* \leftarrow A^P(h); h \leftarrow \{0, 1\}^n] \leq \Pr[h = c \oplus p] + \Pr[h = p] + \Pr[H^P(M^*) = h : M^* \leftarrow A^P(h); h \leftarrow \{0, 1\}^n | (h \neq c \oplus p) \wedge (h \neq c)] \leq \frac{3+q}{2^n}$ .

Note that a non-computable message  $M$  should not be  $0^n$  or  $1^n$ , because outputs for them are already defined as  $c \oplus p$  and  $c$  respectively. So, the non-computable message  $M$  should be used as the input of the VIL random oracle  $P$  and be different from any query to  $P$ , which means that  $\Pr[H^P(M) = y|\alpha] \leq 1/2^n$  by the property of the random oracle. ■

**Indifferentiability Attack on  $F(M) = OT(H^P(M))$ , where  $OT(x) = f(x) || f(x \oplus p)$ .** Let  $(\mathcal{O}_1, \mathcal{O}_2, \mathcal{O}_3)$  be  $(F^{P,f}, P, f)$  or  $(\mathcal{F}, S_1^{\mathcal{F}}, S_1^{\mathcal{F}})$  for any simulator  $S$ . Now we define an adversary  $A$  as follows. First,

$A$  makes query ‘0’ and ‘1’ to  $\mathcal{O}_1$ . Then,  $A$  obtains responses  $(a_1||a_2)$  and  $(b_1||b_2)$ . If  $\mathcal{O}_1 = F$ , then  $a_1 = b_2$  and  $a_2 = b_1$ . But, if  $\mathcal{O}_1 = \mathcal{F}$ ,  $a_1 = b_2$  and  $a_2 = b_1$  with the probability  $1/2^n$ . So,  $F$  is not indifferentially secure.

## 6.2 PRO Attack on the cases of $OT(x) = F_i(x)$ for $i = 8, 12$ , (Fig. 3)

In the case of  $F_8$  proposed by Lai and Massey in [14], which is called Tandem DM, there is the following structural weakness. If for any  $a$   $g_{i-1} = h_{i-1} = M_i = a$  in  $F_8$  of Fig. 3, then  $h_i \oplus g_i = a$ . We can show an indistinguishability attack on  $F(M) = F_9(H^P(M))$ , where  $H^P$  is preimage aware and  $q_H$  is small. We define a hash function  $H^P(x) : \{0, 1\}^* \rightarrow \{0, 1\}^n$  as follows, where  $c$  is any constant and  $P$  is a VIL random oracle with  $n$ -bit output size.

$$\begin{aligned} H^P(x) &= c||c && \text{if } x = 0, \text{ where } c \text{ is a } n/2\text{-bit constant} \\ &= P(x) && \text{otherwise,} \end{aligned}$$

And we can easily show that  $H^P$  is preimage aware, preimage resistant and  $(q_P, q_H(= q_P+1), 1/2^n)$ -computable message aware, where  $q_H$  is the number of computable messages obtained from  $q_P$  input-output pairs of  $P$ . and  $q_H$  is small.

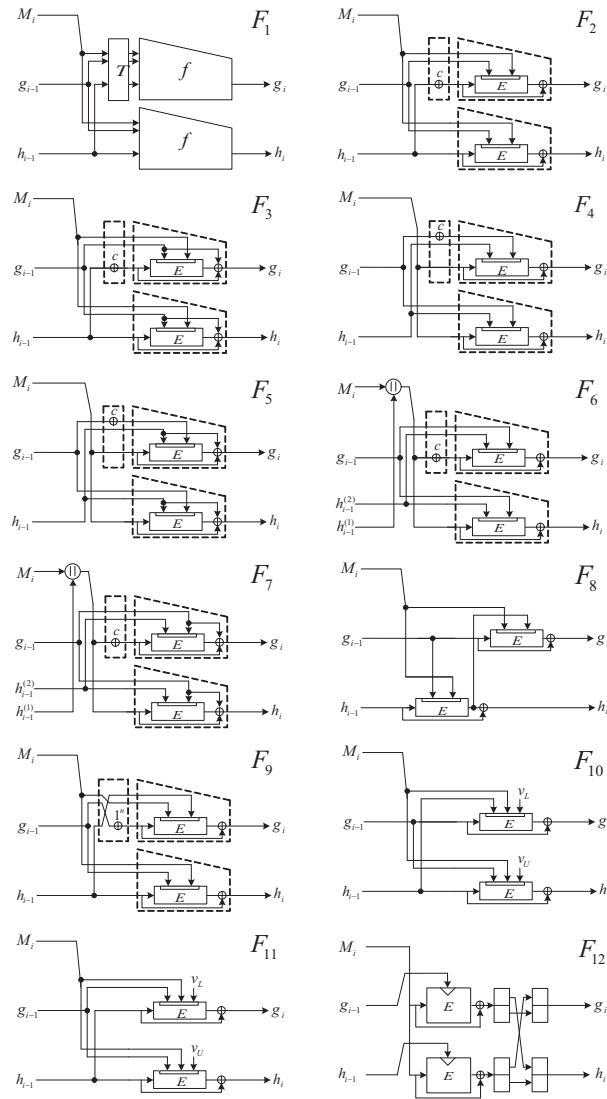
Then, we show that  $F(M_1||M_2) = F_8(H^Q(M_1), M_2)$  is not indistinguishable from a VIL random oracle  $\mathcal{F}$  as follows.  $A$  makes a query ‘ $0||c$ ’ to  $\mathcal{O}_1$  and get its response  $z = (z_1||z_2)$ .  $A$  checks if  $z_1 \oplus z_2 = c$ . If  $\mathcal{O}_1$  is  $\mathcal{F}$ ,  $z_1 \oplus z_2 = c$  with the probability  $1/2^{n/2}$ . On the other hand, if  $\mathcal{O}_1$  is  $F$ ,  $z_1 \oplus z_2 = c$  with probability 1. So  $F$  is not indifferentially secure. In the case of  $F_{12}$ , which is called MDC-2, if the values of  $M_i$  and  $h_{i-1}$  are fixed, the half of bits of the output of  $F_{12}$  is also fixed regardless of what  $g_{i-1}$  is. Using this weakness, in a similar way as shown in above, we also can construct  $H^P$  such that  $F(M_1||M_2) = F_{12}(H^P(M_1), M_2)$  is not indifferentially secure.

## 7 Conclusion

In this paper we extend the applicability of preimage-awareness in those hash functions whose output transformation cannot be modeled as a random oracle. We choose Davis-Meyer as an output transformation based on a random permutation and show that the hash function is PRO if  $H^P$  is PrA, preimage resistant and computable message aware. The computable message awareness is a new notion introduced here similar to PrA. However this is not same as PrA as we can see the separation among these notions. As an application to our result we prove the PRO property of a variant of Grøstl hash function. We similarly prove that 12 PGV compression function out of 20 collision resistant PGV hash functions can be employed as output transformation with the similar assumption on  $H^P$ . However, some the popular double length hash function can not be used as we have shown PRO attacks. In summary, we study the choice of output transformation beyond the random oracle model and found both positive and negative results.

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**Fig. 3.** Double Block Length constructions :  $F_i = \text{DBL}_i$  for  $1 \leq i \leq 12$

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## Appendix A. Revisiting the Proof of “RO(PrA( $\cdot$ )) = PRO( $\cdot$ )”

In [7, 8] it was proved that  $F^{R,P}(M) = \mathcal{R}(H^P(M))$  is indifferentiable from a VIL random oracle  $\mathcal{F}$ , where  $\mathcal{R} : \{0, 1\}^m \rightarrow \{0, 1\}^n$  is a FIL random oracle,  $P$  is an ideal primitive, and  $H^P : \mathcal{M} \rightarrow \{0, 1\}^m$  is preimage-aware. The result can be used to prove the indifferentiability security of any hash function which uses a post-processor defined independently from the underlying iteration function  $P$ . In the course of our studies we have found that the proof given in [7, 8] is not completely correct (though the claims remain correct). We have reported this in a limited distribution abstracts on February and May 2010 (recently in October 2010, a correction on the e-print version has appeared by the original coauthors, further confirming our findings). Let us review the issues. There are two main flaws (to be described below) in the proof, and we need to provide alternative definitions of simulator and preimage-aware attacker to fix them. (We note that while somewhat technical, the revision is crucial). Let  $\text{NQ}[l]$  be the number of  $P$ -queries required for the computation of  $H^P(M)$  for  $|M| = l$ . We denote  $\text{Time}(\cdot)$  and  $\text{STime}(\cdot)$  to mean the run time and simulation run time of an algorithm. Now we restate the Theorem 4.1. in [7, 8] (in terms of our PrA terminologies) and provide a sketch of the proof given in [7].

### Theorem 4.1 of [7, 8]

For any given efficient extractor  $\mathcal{E}$ , there exists a simulator  $S = (S_1, S_2)$  with  $\text{Time}(S) = O(q_1 \cdot \text{STime}(P) + q_2 \cdot \text{Time}(\mathcal{E}))$ . The simulator makes at most  $q_2$   $\mathcal{F}$ -queries. For any indifferentiability adversary  $A^{\mathcal{O}_0, \mathcal{O}_1, \mathcal{O}_2}$  making at most  $(q_0, q_1, q_2)$  queries to its three oracles with bit-size  $l_{max}$  for the longest  $\mathcal{O}_0$ -query, there exists a  $(q_1 + q_0 \cdot \text{NQ}[l_{max}], q_2 + 1, t)$ -PrA adversary  $B_A^P$  with runtime  $t = \text{Time}(A) + O(q_0 \cdot \text{NQ}[l_{max}] + q_1 + q_2 \text{Time}(\mathcal{E}))$  such that

$$\text{Adv}_{F,S}^{\text{pro}}(A) \leq \text{Adv}_{H^P, P, \mathcal{E}}^{\text{pra}}(B_A).$$

**Outline of Proof of Theorem 4.1. of [8].** Let  $\mathcal{E}$  be an arbitrary extractor for  $H$ . Then  $S = (S_1; S_2)$  works as follows. It maintains an internal advice string  $\alpha$  (initially empty) that will consist of pairs  $(u; v)$  corresponding to  $A$ ’s queries to  $P$  (via  $S_1$ ). When  $A$  queries  $u$  to  $S_1$ , the simulator simulates  $v \leftarrow P(u)$  appropriately, sets  $\alpha \leftarrow \alpha \parallel (u; v)$ , and returns  $v$ . For a query  $Y$  to  $S_2$ , the simulator computes  $X \leftarrow \mathcal{E}(Y; \alpha)$ . If  $X = \perp$  then the simulator returns a random point. Otherwise it simulates  $Z \leftarrow \mathcal{F}(X)$  and returns  $Z$  to the adversary. The games  $R0, I1, G0, G1$  and  $B_A$  have been defined in [8] and the authors claimed the following:

$$(1) \ G1 \equiv I1 \equiv (\mathcal{F}, S_1, S_2), \quad (2) \ G0 \equiv R0 \equiv (F^{P, \mathcal{R}}, P, \mathcal{R}).$$



Due to the above claim the PRO-advantage of any adversary  $A$  is nothing but  $|\Pr[A^{G_0} = 1] - \Pr[A^{G_1} = 1]|$ . From the pseudocodes of games  $G_0$  and  $G_1$ , it is easy to see that they are identical-until-Bad. Hence  $\mathbf{Adv}_{F,S}^{\text{pro}}(A) \leq \Pr[A^{G_1} \text{ sets Bad true}]$ . The proof proceeds by defining a PRA-adversary  $B_A$  which makes preimage-aware attack successfully whenever  $B_A$  sets **Bad** true. Since  $B_A$  sets **Bad** true only if it finds a collision of  $H^P$  or finds a message  $M$  such that  $\mathcal{E}(\alpha, Y) \neq M$  where  $Y = H^P(M)$ . So  $\Pr[B_A \text{ sets Bad true}] \leq \mathbf{Adv}_{H^P, P, \mathcal{E}}^{\text{pra}}(B_A)$ . The theorem follows immediately from the following claim:

$$(3) \Pr[A^{G_1} \text{ sets Bad true}] \leq \Pr[B_A \text{ sets Bad true}]. \quad \blacksquare$$

## 7.1 Problems in Proof of Theorem 4.1. of [8]

In this section we explain the flaws we observe in the proof of Theorem 4.1. of [8]. To understand it one needs to go through the definitions of the games  $G_0$ ,  $R_0$ ,  $G_1$  and  $G(B)$  (the tuple of three oracles simulated by  $B$ ) described in [8].<sup>2</sup>

### Flaw 1. $G_0$ is not equivalent to $R_0$ .

If  $\mathcal{O}_0$  in  $G_0$  has not been queried before (so the **Bad** event in  $G_0$  would not occur) then the output of  $\mathcal{O}_2(Y)$  query is  $F(X)$  whenever  $X = \mathcal{E}(Y, \alpha) \neq \perp$ , otherwise it returns  $R(X)$  where  $F$  and  $R$  perfectly simulate two independent random oracles  $\mathcal{F}$  and  $\mathcal{R}$  respectively. We show that  $\mathcal{O}_2$  cannot be equivalent to a random oracle. Suppose  $\mathcal{E}$  is an extractor which returns a special message  $M^*$  whenever the advise string  $\alpha$  is empty. If  $A$  makes first two successive distinct  $\mathcal{O}_2$  queries  $Y_{2,1}$  and  $Y_{2,2}$  then  $X_{2,1} = X_{2,2} = M^*$  and hence the outputs of  $\mathcal{O}_2$  in game  $G_0$  are identical (same as  $F[M^*]$ ).

To get rid of the above problem, we can do the following steps in  $\mathcal{O}_2$  (also in simulator  $S_2$ ) immediately after it obtains  $X = \mathcal{E}(Y, \alpha)$ : Compute  $H^P(X) = Y'$  and check whether it is the same as  $Y$  or not. If extractor returns a correct message, i.e.  $Y = Y'$ , then  $S_2$  or  $\mathcal{O}_2$  returns  $\mathcal{F}(M)$ . Otherwise, it returns randomly. To compute  $H^P(X)$  one might need to simulate some  $P$  outputs (in case of  $G_0$ ) or make  $P$ -queries (in case of  $\mathcal{O}_2$  of  $B$ ).

### Flaw 2. $G(B) \neq G_1$ and $G_1, G(B)$ are not identical-until-Bad.

We first observe that the advise string  $\alpha$  in  $G_1$  is not the same as that of  $B$  since the advise string  $\alpha$  is updated whenever  $A$  has access to the oracle  $\mathcal{O}_1$  in Game  $G_1$ , but the advise string is updated whenever  $A$  has access to the oracle  $\mathcal{O}_0$  and  $\mathcal{O}_1$  of  $B$  in Fig 3 of [8]. For example, let  $\mathcal{E}(Y, \alpha)$  return a message  $M$  whenever  $H^P(M) = Y$  is “computable” from  $\alpha$  otherwise return  $\perp$ . Any adversary which can guess  $H^P(M)$  correctly and turn it to  $\mathcal{O}_2$  query then  $\mathcal{O}_2^B(Y|\tau)$  returns  $z$ . However,  $\mathcal{O}_2^{G_1}(Y|\tau)$  returns a random string  $R[Y]$  since  $\alpha$  is the empty string in  $A^{G_1}$ . So  $G(B) \neq G_1$ . One can similarly show that  $G_1, G(B)$  are not identical-until-Bad.

A possible attempt is to update the advise string for  $\mathcal{O}_0$  queries in all games, in particular  $G_1$ . However, if we do so then the simulator is not independent of  $\mathcal{F}$ -queries (since the advise string is updated whenever there is a  $\mathcal{O}_0$ -query and the advise string is used to define the response of  $S_2$ ). On the other hand, we cannot ignore the  $H^P(M)$  computation in  $B$  for  $\mathcal{O}_0$  queries of  $A$ . This computation is essential to making PrA attack successfully. It seems impossible to handle the advise string so that it is updated in the same way for all games as well as  $H^P(\cdot)$  computations are made for  $\mathcal{O}_0$ -queries. We can solve the problem if **we postpone the computation of  $H^P$  until all queries of  $A$  are made**. So we need a finalization procedure in  $B$  which essentially does all  $H^P(M)$  computations of  $\mathcal{O}_0(M)$ -queries.

## 7.2 Revised Proof of Theorem 4.1 of [8]

We state the corrected version of theorem 4.1. below. The revised version of  $B := B_A$ , simulators and the games  $G_0$  and  $G_1$  are defined in Fig. 1. The adversary  $B_A$  has a subroutine called `Finish()` which is

<sup>2</sup> We have defined the revised version of these games in the paper. We refer readers to [8] to see the original definitions to understand the flaws.

defined trivially. It mainly completes the PrA attack. It is easy to see that whenever  $\text{Finish}()$  is being executed either we have a collision in  $H^P$  or there is some message  $M$  such that  $H^P(M) = y, (y, M) \notin \text{Ext}$ . For simplicity we ignore the details of the subroutine. Let  $q = q_1 + (q_0 + q_2) \cdot \text{NQ}[l_{max}]$ .

Game	$G_0$ and $G_1$	Adversary $B_A^P$ and Simulator $S^{\mathcal{F}} = (S_1, S_2)$
	Initialize : $\mathbf{H} = \mathbf{R}_2 = \mathbf{R}_0 = \phi$ ; $\mathcal{L} = \beta = \phi, i = 1, \text{Bad} = \text{F}$ ;	Initialize : $\mathbf{H} = \mathbf{R}_2 = \mathbf{R}_0 = \mathcal{L} = \beta = \phi; i = 1, \text{Bad} = \text{F}$ ; Run $A$ and respond queries of $A$ 's as follows:
200	On $\mathcal{O}_2$ - query $y := y_i, i = i + 1$	200 On $\mathcal{O}_2$ (or $S_2$ )-query $y := y_i, i = i + 1$
201	$X = \mathcal{E}(y_i, \beta); \text{Ext} \stackrel{\cup}{\leftarrow} (y, X);$	201 $X = \mathcal{E}(y_i, \beta); \text{Ext} \stackrel{\cup}{\leftarrow} (y, X);$
202	$y' = H^P(X)$ and update $\beta$ ;	202 $y' = H^P(X)$ and update $\beta$ ;
203	If $y' \neq y$	203 If $y' \neq y$
204	then $z = \mathcal{R}(y);$	204 then $z = \mathcal{R}(y);$
205	If $y' \neq y \wedge (M, y) \in \mathbf{H}$	
206	then $\text{Bad} = \text{T}; z = \mathbf{R}_0[y];$	
207	If $y' = y$	207 If $y' = y$
208	then $z = \mathcal{F}(X);$	208 then $z = \mathcal{F}(X);$
209	If $y' = y \wedge (M, y) \in \mathbf{H} \wedge M \neq X$	
210	then $\text{Bad} = \text{T}; z = \mathbf{R}_0[y];$	
211	$\mathbf{R}_2 \stackrel{\cup}{\leftarrow} (y, z);$ return $z;$	211 $\mathbf{R}_2 \stackrel{\cup}{\leftarrow} (y, z);$ return $z;$
100	On $\mathcal{O}_1$ - query $u$	100 On $\mathcal{O}_1$ (or $S_1$ )-query $u$
101	$v = P(u); \beta \stackrel{\parallel}{\leftarrow} (u, v);$	101 $v = P(u); \beta \stackrel{\parallel}{\leftarrow} (u, v);$
102	return $v;$	102 return $v;$
000	On $\mathcal{O}_0$ - query $M$	000 On $\mathcal{O}_0$ (or $\mathcal{F}$ )- query $M$
001	$z = \mathcal{F}(M); \mathcal{L} \stackrel{\cup}{\leftarrow} M;$	001 $z = \mathcal{F}(M); \mathcal{L} \stackrel{\cup}{\leftarrow} M;$
002	$y = H^P(M); \mathbf{H} \stackrel{\cup}{\leftarrow} (M, y);$	002 $\mathbf{R}_0 \stackrel{\cup}{\leftarrow} (y, z);$ return $z;$
003	If $\mathbf{R}_0[y] \neq \perp$	400 <b>Finalization:</b> (after $A$ finishes queries.)
004	then $\text{Bad} = \text{T}; z = \mathbf{R}_0[y];$	401 If $\exists M \neq M' \in \mathcal{L}, H^P(M) = H^P(M')$
005	Else if $\mathbf{R}_2[y] \neq \perp \wedge (y, M) \notin \mathbf{E}$	402 then $\text{bad} = \text{T}, \text{Finish}();$
006	then $\text{Bad} = \text{T}; z = \mathbf{R}_2[y];$	403 If $\exists M \in \mathcal{L}, (y, X) \in \mathbf{E}, X \neq M, H^P(M) = y$
007	$\mathbf{R}_0 \stackrel{\cup}{\leftarrow} (y, z);$ return $z;$	404 then $\text{bad} = \text{T}, \text{Finish}();$
		405 return $\perp;$

**Fig. 4.**  $G_0$  executes with boxed statements whereas  $G_1$  executes without these. Clearly  $G_0$  and  $G_1$  are identical-until-Bad and whenever  $G_1$  set bad true the adversary  $B_A^P$  set also bad true. In this case,  $\text{Finish}()$  subroutine executes which makes PrA successful. The tuple of simulated oracles of  $B_A$  is equivalent to  $(\mathcal{F}, S_1, S_2)$ .

**Lemma 9.**  $G_1 \equiv (\mathcal{O}_0^{B_A}, \mathcal{O}_1^{B_A}, \mathcal{O}_2^{B_A}) \equiv (\mathcal{F}, S_1, S_2)$ . Games  $G_0$  and  $G_1$  are identical-until-Bad.

The Lemma is obvious from the games described in Fig. 1. We leave readers to verify. The following lemma essentially says that  $G_0$  is equivalent to  $(\mathcal{R}(H^P), P, \mathcal{R})$ . The proof of the lemma is easy to verify and we skip it for the full version.

**Lemma 10.**  $G_0 \equiv (\mathcal{R}(H^P), P, \mathcal{R})$ , i.e. for any distinguisher  $A$ , the output distribution of  $A^{G_0}$  and  $A^{\mathcal{R}(H^P), P, \mathcal{R}}$  are identically distributed.

**Lemma 11.** Whenever  $A^{G_1}$  sets Bad true,  $B_A$  sets Bad true and  $B_A$  makes PrA attack successful. So we have  $\Pr[A^{G_1} \text{ sets bad}] \leq \Pr[B_A \text{ sets bad}] \leq \text{Adv}_{H^P, P, \mathcal{E}}^{\text{pra}}(B_A)$ .

**Proof.** We already know from Lemma 9 that  $G_1$  is equivalent to the oracles simulated by  $B_A$ . However, the two games defined bad event in different manners. The game  $G_1$  sets bad during the computation

of responses whereas the adversary  $B_A$  sets bad after all responses of the queries.  $A^{G1}$  sets bad true in line 209, 003 and in line 206, 005. We can see that if the conditional statements written in 209 and 003 in game  $G1$  hold then we have a collision in  $H^P$  (there exist  $M \neq X$  such that  $H^P(M) = H^P(X)$ ). So we have PrA attack which is taken care of in 401 in the second step of  $B_A$ . For the lines 205 and 005 we have  $M$  such that  $H^P(M) = y$  and  $\text{Ext}[y] \neq M$ , in which case PrA attack is possible due to incorrect guess of the extractor. This has been taken care of in 403. ■

By using the above lemmas the theorem follows immediately

**Theorem 6 (Ro domain extension via PrA).** *For any given extractor  $\mathcal{E}$  we can construct a simulator  $S = (S_1, S_2)$  with  $\text{Time}(S) = O((q_1 + q_2 \cdot \text{NQ}[l_{\max}]) \cdot \text{STime}(P) + q_2 \cdot \text{Time}(\mathcal{E}))$ . For any indistinguishability adversary  $A^{\mathcal{O}_0, \mathcal{O}_1, \mathcal{O}_2}$  making at most  $(q_0, q_1, q_2)$  queries to its three oracles with bit-size  $l_{\max}$  for the longest  $\mathcal{O}_0$ -query, there exists a  $(q, q_2 + 1, t)$ -adversary  $B$  with runtime  $t = \text{Time}(A) + O(q_2 \cdot \text{Time}(\mathcal{E}) + q_0 + q_1 + (q_2 + q_0)\text{NQ}[l_{\max}])$  and*

$$\text{Adv}_{F,S}^{\text{pro}}(A) \leq \text{Adv}_{H^P, P, \mathcal{E}}^{\text{pra}}(B),$$